



MINUTES
of the
APRIL 2019
ROTARY FOUNDATION
BOARD OF TRUSTEES MEETING

ROTARY FOUNDATION TRUSTEES
2018–19

CHAIR

Brenda M. Cressey
Templeton, CA, USA
(Rotary Club of Paso Robles)

VICE CHAIR

Michael F. Webb
Somerset, England (Rotary Club of Mendip)

CHAIR-ELECT

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Taipei, Taiwan (Rotary Club of Taipei)

Ron D. Burton
Norman, OK, USA (Rotary Club of Norman)

John F. Germ
Chattanooga, TN, USA
(Rotary Club of Chattanooga)

Per Høyen
Gelsted, Denmark
(Rotary Club of Aarup)

Julia D. Phelps
Greenland, NH, USA (Rotary Club of Amesbury)

Kenneth M. Schuppert Jr.
Decatur, AL, USA (Rotary Club of Decatur)

SangKoo Yun
Jongro-Gu, Republic of Korea
(Rotary Club of Sae Hanyang)

GENERAL SECRETARY

John Hewko
Evanston, IL, USA (Rotary Club of Kyiv, Ukraine)

Mário César de Camargo
Santo André, Brazil (Rotary Club of Santo André)

Mary Beth Grownney Selene
Madison, WI, USA
(Rotary Club of Madison West Town–Middleton)

Seiji Kita
Saitama, Japan (Rotary Club of Urawa East)

K.R. Ravindran
Colombo, Sri Lanka (Rotary Club of Colombo)

Gulam A. Vahanvaty
Mumbai, Mah., India (Rotary Club of Bombay)

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* *Filed only with the official copy of these minutes*

MINUTES

of the

APRIL 2019 MEETING

Rotary Foundation Board of Trustees

The 2018–19 Rotary Foundation Trustees held their fourth meeting of the year on
22–24 April 2019 in Evanston, IL, USA

Present were Chair Brenda M. Cressey, Vice-Chair Michael F. Webb, Chair-elect Gary C.K. Huang, other Trustees Ron D. Burton, Mário C. de Camargo, John F. Germ, Mary Beth Gowney Selene, Per Høyen, Seiji Kita, Julia D. Phelps, K.R. Ravindran, Kenneth M. Schuppert Jr., Gulam A. Vahanvati, Sangkoo Yun, and General Secretary John Hewko. Jeffry Cadorette attended as director liaison.

At the invitation of the chairman, incoming Trustees Jorge Aufranc, Hipolito S. Ferreira, Jennifer Jones, and Ian H.S. Riseley also attended.

Andrew McDonald served as secretary of the meeting with assistance from Matt Hohmann. Other staff present were David Alexander, Jim Barnes, Victor Barnes, Michele Berg, Meredith Burlew, Lori Carlson, Richard Kick, Bernadette Knight, Abby McNear, Maureen Ninneman, Carol Pandak, Steven Routburg, Eric Schmelling, Thomas Thorfinnson, and Nora Zei. Misa Mais and Eiko Terao served as staff interpreters.

The general secretary prepared a memorandum of items for consideration at this meeting. Items from that memorandum, as well as other items considered during the meeting that were acted upon, constituted the meeting's agenda as follows:

* * * * *

90. Approval of the January 2019 Meeting Minutes

Statement: The general secretary distributed the January 2019 Trustees meeting minutes to each trustee.

DECISION: The Trustees approve the minutes of their January 2019 meeting and request the chairman to sign the official copy of those minutes.

91. Ratification of Decisions Taken on Behalf of the Trustees

Statement: Rotary Foundation Bylaws section 5.10 states that any officer acting on behalf of the Trustees shall

report such action to the Trustees at their next scheduled meeting.

DECISION: The Trustees ratify the decisions as shown in Appendix A—the complete copy of which is filed only with the official copy of these minutes—taken on their behalf between 29 November 2018 and 5 March 2019 by those authorized to do so.

92. General Secretary's Report

Statement: The general secretary presented an update on the work at the Secretariat since the January 2019 Trustees meeting.

DECISION: The Trustees receive the general secretary's report on the activities at the Secretariat since the January 2019 Trustees meeting.

93. Tentative Future Agenda

Statement: At each meeting, the Trustees review a tentative agenda for their next meeting.

DECISION: The Trustees receive the tentative agenda for their June 2019 meeting.

94. Updates to The Rotary Foundation Code of Policies

Statement: Rotary Foundation Code of Policies section 22.010.6. requests the general secretary, at the conclusion of each Trustees meeting, to review the decisions taken by the Trustees at that meeting and prepare a report listing the decisions that might be added to The Rotary Foundation Code of Policies. The general secretary also recommends amendments to the Code to reflect current practices, language, or other factors.

DECISION: The Trustees amend the Rotary Foundation Code of Policies as shown Appendix B, filed only with the official copy of these minutes.

95. Communications from the Board

Statement: At their January and April 2019 meetings, the Board took several decisions of interest to the Trustees.

DECISION: The Trustees

1. note the following decisions from the January 2019 RI Board meeting:

78. Communications from the Trustees
81. Rotary Diversity, Equity, and Inclusion Policy Statement
82. Joint Task Force to Consider RI Tax Status Report
87. 2019–20 RI Committee Appointments
107. Resolutions Requesting Trustee Action
108. Livestreaming Convention Events
109. 2023 RI Convention Site Selection
113. Selection of 2018–19 Alumni Awards Recipients
114. Service Above Self Awards
116. Toastmasters Partnership
119. Adult Harassment Policy
122. Global Membership
123. Houston Membership Marketing Pilot
127. Use of Foundation Unrestricted Funds

2. note the following decisions from the April 2019 RI Board meeting:

136. Censure of Rotarians
137. Removal of Director-nominee
140. Governance Recommendations – Power of the President
141. Governance Recommendations – Directors
142. Governance Recommendations – Committees
144. Strategic Planning Committee Report
145. Shaping Rotary’s Future Committee Report
146. Joint Committee on Partnership
147. Rotary Representatives Network
150. 2018 Council on Resolutions: To Consider the Composition of the Trustees of The Rotary Foundation
160. End of Polio Communications Expansion Update
167. Global Membership Test Project Report
169. Rotary in Iraq

96. Removal of the Chair

Statement: The Trustees discussed an appropriate response to actions taken by their chair that were in opposition to a previous Trustee decision.

DECISION: The Trustees

1. express a loss of confidence in the Trustee chair following his actions in opposition to Trustees decision 67, January 2019, endorsing the RI Board’s proposal to convert RI into a Section 501(c)(3) organization and proposed position statement 19-117 submitted to the 2019 Council on Legislation;
2. pursuant to Section 5.4 of the TRF Bylaws, remove Ron D. Burton as Trustee chair, effective immediately.

97. Election of Officers

Statement: In follow-up to their decision 96, above, the Trustees elected a new chair and vice chair for the remainder of 2018–19.

DECISION: The Trustees

1. elect their Vice Chair Brenda M. Cressey as chair to serve the remainder of 2018–19 as an officer of The Rotary Foundation of Rotary International, an Illinois not-for-profit corporation;
2. elect Michael F. Webb as their vice chair to serve the remainder 2018–19 as an officer of The Rotary Foundation of Rotary International, an Illinois not-for-profit corporation.

98. TRF Appointments and Funding

Statement: In follow-up to their decision 96, above, the Trustees discussed appropriate further action against all of those involved in actions that were in opposition to a previous Trustee decision endorsing the RI Board’s proposal to convert RI into a 501(c)(3) organization.

DECISION: The Trustees agree that

1. Ron D. Burton, shall be ineligible to hold any TRF appointments or assignments beyond his current appointment as a Trustee and, effective 1 July 2019, shall be ineligible to receive any funding and expense reimbursements from The Rotary Foundation;
2. Paul A. Netzel (notwithstanding Rotary Foundation Code of Policies 20.110.), effective immediately, shall be ineligible to hold any TRF appointments or assignments, and shall be ineligible to receive any funding and expense reimbursements from The Rotary Foundation;

3. Stephen R. Brown, effective immediately, shall be ineligible to hold any TRF appointments or assignments.

99. Review of Delegation of Authority

Statement: Rotary Foundation Code of Policies section 21.010. provides a comprehensive delegation of authority for certain program and administrative matters. The Trustees annually review the delegation of authority at their April meeting.

DECISION: The Trustees

1. approve the delegation of authority as shown in Appendix C, filed only with the official copy of these minutes;
2. delete Rotary Foundation Code of Policies section 21.010.1. regarding the delegation of authority for the purchase of equipment.

DECISIONS 100–104

2018 Council on Resolutions Requests

Statement: The 2018 Council on Resolutions was held online from 15 October–15 November 2018. Several resolutions requested Trustee action and were forwarded by the Board for consideration by the Trustees.

100. To Consider Adding Environmental Protection as Area of Focus

Statement: The Trustees considered Resolution 18R-41 from the Rotary Club of Almoradi, Spain, regarding adding environmental protection as a possible seventh area of focus.

DECISION: The Trustees

1. thank the Rotary Club of Almoradi, Spain for its resolution;
2. reaffirm Rotary's ongoing commitment to environmental protection within the existing areas of focus and note that in decision 116 of these minutes, the Trustees received a report from the Area of Focus Review Committee with recommendations regarding any changes to the areas of focus;
3. further note that district and global grants are available to fund environmental protection activities at the local and international levels.

101. To Consider Amending the Global Grants Policy to Allow Funding of Rotaractors in the Area of Peace and Conflict Prevention/Resolution

Statement: The Trustees considered Resolution 18R-45 from the Rotary Club of Santa Rosa, CA, USA, regarding amending the global grants policy to allow funding of Rotaractors in the area of peace and conflict prevention/resolution.

DECISION: The Trustees

1. thank the Rotary Club of Santa Rosa, CA, USA for its resolution;
2. note that under existing global grants policy, Rotaractors are eligible to receive funding in the peacebuilding and conflict prevention area of focus.

102. To Consider Changing the Distribution of District Designated Funds for District and Global Grants

Statement: The Trustees considered Resolution 18R-46 from District 1810 (Germany) regarding changing the distribution of District Designated Funds to implement a division of the funds between district grants and global grants.

DECISION: The Trustees

1. thank Rotary District 1810 (Germany) for its resolution;
2. while applauding District 1810's intention to strengthen The Rotary Foundation, notes that increasing the funding available for district grants and potentially decreasing the funding available for global grants (thereby moving the focus away from funding transformative, high-impact projects to smaller projects) would be a major shift in the Foundation's strategic direction;
3. reaffirms its policy to cap available DDF for district grants at 50 percent.

103. To Consider Increasing the Amount of District Designated Funds Available for District Grants

Statement: The Trustees considered Resolution 18R-47 from District 4420 (Brazil) regarding changing the

distribution of District Designated Funds to implement a cap on the amount of the fund available for district grants.

DECISION: The Trustees

1. thank Rotary District 4420 (Brazil) for its resolution;
2. while applauding District 4420's intention to strengthen The Rotary Foundation, notes that increasing the funding available for district grants and potentially decreasing the funding available for global grants (thereby moving the focus away from funding transformative, high-impact projects to smaller projects) would be a major shift in the Foundation's strategic direction;
3. reaffirms its policy to cap available DDF for district grants at 50 percent.

104. To Consider Changing the Grant Model to Improve Flexibility for District Grants

Statement: The Trustees considered Resolution 18R-48 from District 1800 (Germany) regarding changing the grant model to remove restrictions on how districts divide District Designated Funds between district grants and global grants.

DECISION: The Trustees

1. thank District 1800 (Germany) for its resolution;
2. while applauding District 1800's intention to strengthen The Rotary Foundation, notes that increasing the funding available for district grants and potentially decreasing the funding available for global grants (thereby moving the focus away from funding transformative, high-impact projects to smaller projects) would be a major shift in the Foundation's strategic direction;
3. reaffirms its policy to cap available DDF for district grants at 50 percent.

105. Annual Report on Associate Foundations

Statement: Rotary Foundation Code of Policies section 26.020.5. requires the general secretary to provide an annual update on the activities and financial status of each associate foundation at the April Trustees meeting. The update included a review of contributions handled by each associate foundation, management structure, tax

benefits, preferred arrangements, and Foundation programs supported, among other issues.

DECISION: The Trustees receive the annual update on associate foundations.

106. Appointments to the Associate Foundations

Statement: The associate foundations have differing requirements for their trustee appointments. The Trustees considered appointments in accordance with each associate foundation's governing documents.

DECISION: The Trustees

1. appoint Past RI Director Holger Knaack to serve as a member of the Advisory Council of Rotary Deutschland Gemeindienst for the term ending 31 December 2021;
2. appoint Trustee Gulam Vahanvaty, Trustee Sangkoo Yun, and Trustee Chairman-elect Gary C.K. Huang as Trustee Members of RFI's General Body to serve for the term commencing on 1 July 2019 through 30 June 2020 and request the RFI secretary to enter the names in the appropriate records as provided in RFI's rules and regulations;
3. thank Trustee Gulam Vahanvaty for his dedicated services as TRF Trustee Liaison to Rotary Foundation Australia Limited's board of directors;
4. appoint incoming Trustee Ian Riseley to serve as proxy to Rotary Foundation Australian Limited for fiscal year 2019–20;
5. appoint Rotarians Tony Heading, Joanne Schilling, Dennis Shore, and Jessie Harman to serve as directors of Rotary Foundation Australia Limited for the term commencing on 1 July 2020 through 30 June 2023;
6. thank Trustee Mário César Martins de Camargo for his dedicated service as TRF Trustee Liaison and governing member of Associação Brasileira da The Rotary Foundation;
7. appoint incoming Trustee Hipolito S. Ferreira to serve as governing member to Associação Brasileira da The Rotary Foundation for the term commencing 1 July 2019 through 30 June 2021;
8. express their profound sadness over the death of Trustee Örsçelik Balkan who served on the advisory council of both Rotary Deutschland Gemeindienst,

and Deutsche Rotarische Stiftung and recognize him for his dedicated service;

9. appoint Trustee Per Høyen to serve as Trustee Liaison to Rotary Deutschland Gemeindienst, and to Deutsche Rotarische Stiftung, effective immediately, with the term ending 30 June 2021;
10. thank Trustee Mary Beth Growney Selene for her dedicated service as TRF Trustee Liaison to The Rotary Foundation Canada's board of directors;
11. agree to be members of The Rotary Foundation Canada (TRFC) and request TRFC to enter their names in the appropriate records;
12. agree to be members of the Rotary Foundation of the United Kingdom (RFUK) and request the RFUK secretary to enter their names in the appropriate records as provided in RFUK's articles of association.

107. Strategic Planning Committee Report

Statement: The Strategic Planning Committee met on 28 February–1 March 2019 in Evanston, IL, USA and discussed a report on the International Assembly, governance reform issues, an update on first-year strategic plan initiatives, the prioritization of strategic initiatives, a strategic plan communications strategy, and preparations for the 2019 Council on Legislation. The committee also discussed a five-year roadmap through 2024 to be used as a guide for implementing the new strategic plan with specific activities and target completion dates.

DECISION: The Trustees

1. thank the Strategic Planning Committee for its report;
2. approve the five-year roadmap as discussed at this meeting as a guide for the implementation of the new strategic plan.

108. Joint Committee on Partnerships Report

Statement: The Joint Committee on Partnerships met on 11–12 March 2019 in Evanston, IL, USA and discussed the status of current partnerships, the possible extension of some existing partnerships, updates on the cultivation of relationships with corporate partners, and amendments to the Rotary Foundation Code of Policies expanding the definition of Project Partners.

DECISION: The Trustees

1. thank the Joint Committee on Partnerships for its report;
2. approve a three-year extension on Rotary – Institute for Economics and Peace strategic partnership activities, from 1 July 2019 through 30 June 2022;
3. extend Rotary's project partnership with ShelterBox through May 2022;
4. amend the Rotary Foundation Code of Policies regarding project partners as follows:

60.050. Project Partners

A project partnership is ~~special status available to organizations~~ formal relationship between Rotary International and/or The Rotary Foundation and another organization affiliated with a Rotary Entity, usually an independent nongovernmental organization started or managed by Rotary clubs, districts, or Rotarians. Project partnerships are global, flexible, scalable, and may range from small to large in scope, and from short to long term in duration. Project partners add measureable value to the humanitarian activities undertaken by Rotary members, including increased sustainable community impact and enhanced public image and awareness. Prospective project partners must demonstrate sufficient experience successfully working with clubs and/or districts on planning and implementing local or international projects, must have the ability to report on and quantify collaboration with Rotary members, and must have the capacity to work directly with Rotary members on project implementation. Project partnerships receive no funding from the Secretariat. The role of the Secretariat is to manage the partnership and facilitate Rotary member engagement with partners. Project partner activities will be promoted to clubs and districts through a dedicated page on the RI website.

109. Fund Development Committee Report

Statement: The Fund Development Committee met on 18–19 March 2019 in Evanston, IL, USA and discussed the fund development dashboard, the status of corporate social responsibility in India, reducing unused District Designated Funds in Japan, current estate gifts, the status of the new financial management system, Rotary's disaster response fund and grants, donor events at the International Convention in Hamburg, corporate

matching gifts, and online giving and peer-to-peer fundraising, among other topics. The committee also reviewed updates from the Generational Philanthropy Work Group, The Rotary Foundation's Endowment Work Group, the Areas of Focus Major Gifts Initiative Committee, the Recognition Review Work Group, and the End Polio Now: Countdown to History campaign.

DECISION: The Trustees

1. thank the Fund Development Committee for its report;
2. request the Fund Development Committee to review major donor and Arch Klumph Society recognition;
3. agree that corporate matching gifts from a non-family-controlled business or family foundation qualify the corporation, but not the employee whose gift is being matched, for major donor and Arch Klumph Society recognition.

110. Areas of Focus Major Gifts Initiative Committees Update

Statement: Major gifts initiatives seek major and planned gifts in the Foundation's areas of focus, with each initiative having a specific fundraising goal. In decision 110, April 2016, the Trustees requested the general secretary to provide updates on the progress of these major gifts initiatives. The general secretary presented an update on each initiative's launch date and fundraising status, adoption of new terms of reference for the Major Gifts Initiative committees, proposals for a strategy session for the Major Gift Initiative oversight team and a separate training session for the Peace Major Gifts Initiative committee, and a proposal for a combined training meeting of major gift initiative committees.

DECISION: The Trustees

1. reaffirm the launch of the Economic and Community Development Major Gifts Initiative (ECD•MGI) on 1 July 2019, with a goal of US\$25 million and inclusion of the incoming ECD Committee chair on the Major Gifts Initiative Oversight Team;
2. approve a combined Major Gifts Initiative (MGI) committee training meeting in 2019–20 for the Basic Education and Literacy Major Gifts Initiative (BEL•MGI), Water and Sanitation Major Gifts Initiative (WAS•MGI), Economic and Community Development Major Gifts Initiative (ECD•MGI) and

Health Major Gifts Initiative Committees at One Rotary Center, and requests the general secretary to budget accordingly;

3. approve a half day strategy session for the MGI Oversight Team before the combined committee training and requests the general secretary to budget accordingly;
4. approve a separate training meeting in 2019–20 for the Peace Major Gifts Initiative Committee at One Rotary Center and requests the general secretary to budget accordingly;
5. adopt the Major Gifts Initiative Committee's terms of reference as shown in Appendix D and request the general secretary to include a reference of the terms in The Rotary Foundation Code of Policies, to be shared upon request;
6. acknowledge the major gifts initiative progress towards goals summary as shown in Appendix E;
7. request the general secretary to provide an update on the areas of focus major gifts initiatives at the April 2020 Trustees meeting.

111. Rotaract Donor Recognition

Statement: In decision 33, October 2018, the Trustees agreed to explore the possibility of Rotaract clubs applying for global grants and district grants and requested the general secretary to investigate new donor recognition categories to encourage Rotaract members to give to The Rotary Foundation. The general secretary reported on new donor engagement strategies for Rotaractors, including a digital certificate for Rotaract clubs and the upcoming launch of a peer-to-peer fundraising platform.

DECISION: The Trustees

1. thank the Rotaract and Interact Committee for looking for ways to increase contributions to The Rotary Foundation;
2. note the plan to create and pilot a digital Rotaract giving certificate to recognize Rotaract clubs for contributions beginning in 2019–20;
3. agree the certificate shall be awarded to Rotaract clubs in which a minimum of five members make personal contributions for a combined total of US\$50 or more;

4. request the general secretary to evaluate the digital Rotaract giving certificate for report to the April 2021 Trustees meeting.

112. CSR in India Pilot Update

Statement: In decision 43, October 2018, the Trustees requested the general secretary to study the possibility of implementing a tiered structure for overhead costs assessed for CSR in India contributions and providing a World Fund match on CSR contributions for global grants. The CSR in India Working Group reported on potential risks and benefits of a World Fund match on CSR contributions for global grants. The general secretary recommended a tiered assessment to offset administrative costs associated with CSR contributions.

DECISION: The Trustees

1. request the general secretary to include the possibility of offering a World Fund match in the Corporate Social Responsibility (CSR) in India pilot evaluation during the April 2020 Trustee meeting;
2. request the general secretary to establish a tiered assessment effective immediately on CSR in India contributions to cover overhead costs as follows:
 - 5% assessment for CSR gifts of US\$32,000 to \$99,999;
 - 4% assessment for CSR gifts of \$100,000 to \$199,999;
 - 3% assessment for CSR gifts of \$200,000 or more.

Note: Trustee Growney-Selene requested her no vote be recorded.

113. Realized Estate Gifts

Statement: Rotary Foundation Code of Policies section 50.060.2. requires the general secretary to inform the Trustees of all unrestricted gifts of US\$250,000 or more so that the Trustees can determine the most appropriate use of the gift. The Rotary Foundation had recently received two estate gifts that fell within this reporting requirement.

DECISION: The Trustees

1. receive with appreciation US\$709,008 from the Mary Louise Meininger estate;

2. affirm that this gift shall be placed in the Endowment with future spendable earnings directed to the World Fund;
3. anticipate with appreciation approximately \$1.3 million from the Carol Margaret Sandra Milne estate;
4. affirm that this gift shall be placed in the Endowment with future spendable earnings directed to SHARE.

114. Level III Grant Requests

Statement: In accordance with Trustee policy, Global Grants requesting over US\$100,000 from the World Fund must obtain Trustee approval. The Trustees reviewed four such Global Grant applications at this meeting.

DECISION: The Trustees agree to award

1. Global Grant 1752614, a disease prevention and treatment grant sponsored by the Rotary Clubs of Dakar-Soleil, Senegal (District 9101) and Chicago, Illinois, USA (District 6450) to implement a cervical cancer prevention program in Senegal in the amount of US\$121,153 from the World Fund (total project cost of \$266,103) with payment contingent upon addressing the four concerns noted by its primary reviewer;
2. Global Grant 1876643, a basic education and literacy grant sponsored by the Rotary Club of Nairobi-Utumishi, Kenya (District 9212) and District 1860 (Germany) to improve literacy levels, promote gender parity, and increase academic performance in western Kenya in the amount of \$109,030 from the World Fund (total project cost of \$245,100), with payment contingent upon written assurance from the cooperating organization to provide ongoing funding for the librarian position if demand warrants it and contingent upon Rotarian consultation with the teachers on desired teacher training needs beyond the use of e-readers, with a plan for providing that training;
3. Global Grant 1980855, a maternal and child health grant sponsored by District 9125 (Nigeria) and District 1860 (Germany) to incorporate family planning into a country-wide obstetrics quality assurance program and to train medical professionals and community members on reproductive health in Nigeria in the amount of \$200,000 from the World Fund (total project cost of \$500,000);

4. Global Grant 1981484, a disease prevention and treatment grant sponsored by the Rotary Clubs of Santo André, Brazil (District 4420) and Bangalore Indiraganar, India (District 3190) to provide a cardiac rehabilitation center to Sri Jayadeva Institute in the amount of \$52,865 from the World Fund with a directed gift in the amount of \$55,000 (total project cost of \$224,807).

115. Grant Model Evaluation

Statement: Rotary Foundation Code of Policies section 31.010.3. provides that the general secretary shall evaluate the areas of focus every three years. The 2018–19 evaluation methodology consisted of eight research activities: sustainability evaluation, area of focus evaluation (including Rotarian perceptions and experiences), operational audits of districts (district grants), focus group, surveys, scholarship evaluation, and vocational training team evaluation. The evaluation also included establishment of five critical success factors for assessing the new grant model: Rotarian perspective (including grant participation and DDF spending), area of focus fit and quality, cycle time, operational efficiency, and stewardship.

DECISION: The Trustees

1. thank the general secretary for his grant model evaluation report;
2. thank the Areas of Focus Evaluation Committee for its review of the grant model evaluation.

116. Areas of Focus Review Committee Report

Statement: The Areas of Focus Review Committee met on 25–27 March 2019 in Evanston, IL, USA and discussed the grant model evaluation results, revisions to area of focus names and policy statements to include and emphasis on environmental sustainability, modifications to the grant model evaluation cycle to align better with programmatic changes resulting from implementation of the new RI strategic plan, and finding partners for Global Grants, among other topics.

DECISION: The Trustees

1. thank the Areas of Focus Review Committee for its report;
2. endorse the inclusion of environmental sustainability as presented in each of the areas of focus policy statements;

3. retain disease prevention and treatment as an area of focus and revise the disease prevention and treatment policy statement as shown in Appendix F;
4. retain maternal and child health as an area of focus and revise the maternal and child health policy statement as shown in Appendix G;
5. retain water and sanitation as an area of focus and
 - a. change the name of this area of focus to water, sanitation and hygiene to better reflect the work of Rotarians and the sector as a whole;
 - b. revise the water, sanitation and hygiene policy statement as shown in Appendix H;
6. retain peace and conflict prevention/resolution as an area of focus and
 - a. change the name of this area of focus to peacebuilding and conflict prevention to better reflect the work of Rotarians and the sector as a whole;
 - b. revise the peacebuilding and conflict prevention policy statement as shown in Appendix I;
7. retain economic and community development as an area of focus and
 - a. change the name of this area of focus to community economic development to better reflect its intent;
 - b. revise the community economic development policy statement as noted in Appendix J;
8. agree to retain basic education and literacy as an area of focus and revise the basic education and literacy policy statement as noted in Appendix K;
9. agree that it is not appropriate at this time to combine disease prevention and treatment and maternal and child health into a single area of focus;
10. receive the general secretary's triennial Grant Model Evaluation and
 - a. agree to modify the evaluation cycle for the grant model from three to five years, beginning 1 July 2019;

- b. amend The Rotary Foundation Code of Policies regarding the review and evaluation of the areas of focus, as shown in Appendix L.

117. WASH in Schools Target Challenge Update

Statement: The general secretary provided an update on the WASH in Schools Target Challenge, including an evaluation on the successes and challenges of the program, an update on the challenge recognition committees, the status of WASH in Schools recognition applications, and an update on the four competitive grant awardees.

DECISION: The Trustees receive the general secretary's update on the WASH in Schools Target Challenge.

118. Rotaract Clubs and Global Grants

Statement: In decision 33, October 2018, the Trustees agreed on the Board's request to explore the possibility of Rotaract clubs applying for global grants and district grants and requested the general secretary to investigate a) allowing Rotaract clubs to participate in grants directly, b) options for incentivizing Rotary clubs to collaborate with Rotaract clubs, and c) the operational and financial implications of implementation. The general secretary recommended several ways that Rotaract clubs could participate in global grants, including publicizing Rotaract grant participation and creating a competition for global grants that involve a Rotary and Rotaract club partnership.

DECISION: The Trustees

1. noting that Rotaractors are currently eligible to receive global grant scholarships and training through vocational training teams, request the RI Board to identify the desired outcomes in opening global grants to Rotaractors, for consideration by the Trustees at their April 2020 meeting;
2. encourage grant sponsors to highlight their Rotary /Rotaract collaboration on Showcase and Ideas, and publicize these partnerships in appropriate publications.

119. Citation for Meritorious Service and Distinguished Service Award

Statement: The Citation for Meritorious Service recognizes one Rotarian per year per district who has demonstrated outstanding active service to The Rotary Foundation. The Trustees also annually recognize up to

50 Rotarians worldwide to receive the Distinguished Service Award for their outstanding efforts on behalf of The Rotary Foundation. Nominations for the 2018–19 awards were received by the general secretary and forwarded to the Trustees for approval.

DECISION: The Trustees

1. acknowledge the Rotarians who have received the 2018–19 Rotary Foundation Citation for Meritorious Service Award, as shown in Appendix M, filed only with the official copy of these minutes;
2. select the Rotarians to receive the 2018–19 Rotary Foundation Distinguished Service Award, as shown in Appendix N, filed only with the official copy of these minutes;
3. confirm that the selection results shall be kept confidential until the presentations of the awards have been made.

120. Peace Programs Oversight Committee Report

Statement: The Peace Programs Oversight Committee works closely with the general secretary on the integration of peace-related activities within Rotary. The committee reported on a recent scoping mission to Sub Saharan Africa to select a potential partner institution for a new Rotary Peace Certificate Program in the region. The committee also reported on recent talks with Chulalongkorn University, Thailand, regarding its continued involvement in the Rotary Peace Certificate Program.

DECISION: The Trustees

1. thank the Peace Programs Oversight Committee for its report;
2. request the Peace Programs Oversight Committee to continue reviewing official proposals to establish a Rotary Peace Certificate program at Makerere University, Uganda, or at the Kofi Annan International Peacekeeping Training Centre, Ghana, for consideration at the June 2019 Trustees meeting;
3. receive the committee's update on Chulalongkorn University's interest in continuing with a Rotary Peace Certificate Program.

121. Report on Allegations

Statement: To facilitate the work of the Stewardship Committee, and in accordance with Rotary Foundation

Code of Policies section 34.040.4., the Trustees receive semiannual updates on stewardship allegations, investigations, and litigation at their October and April meetings.

DECISION: The Trustees

1. receive the report on stewardship issues in Districts 3054 (India) and suspend Past District Governor Anil Agarwal and Past Club President Vinod Haritwal from participation in Rotary grants until 30 April 2022;
2. requests the Trustee chair, in consultation with Trustees Ravindran and Vahanvaty, to appoint a special adviser or advisers to inquire into further allegations against the administration of District 3054;
3. receive the report on stewardship issues in District 3262 (India);
4. receive the report on stewardship issues in District 9212 (Kenya, Ethiopia, and South Sudan);
5. receive the report on grants with cooperating organization Bridges to Prosperity and agree that Bridges to Prosperity is ineligible to participate in Global Grants;
6. thank the general secretary for completing the training plan for South Asia following the 2017–18 Stewardship Assessment.

122. World Reporting Analysis for Rotary Grants

Statement: Rotary Foundation Code of Policies section 34.050.2. requires Rotary clubs and districts to be 100 percent current and compliant with grant reporting requirements. The general secretary provides a comprehensive report on the status of such grant reporting compliance at the April Trustees meetings.

DECISION: The Trustees note that the general secretary will suspend District 3261 (India), effective 1 May 2019, for failure to meet reporting compliance of 70 percent for four quarters and for having more than one grant overdue in each of the analyses.

123. Report on District 3272 (Pakistan)

Statement: In decision 41, October 2013, the Trustees suspended District 3272 from participation in Rotary grants until 30 April 2014 for stewardship violations,

and, in decision 113, April 2014, extended the suspension until the district conducted a stewardship training seminar and implemented a stewardship plan approved by the Foundation's Stewardship Committee. Special advisers were appointed to ensure that the district implemented this stewardship plan and reported regularly to the Trustees. The special advisers recommended that because the district's administration is stabilizing, provided that the special advisers remain active in the district, the Trustees lift the suspension of District 3272 to allow the district to participate fully in Rotary grants.

DECISION: The Trustees

1. thank special advisers Trustee Gulam Vahanvaty, Past District Governors Imran Hassan, and K. M. Zainul Abedin for their continued support and guidance on grant management to District 3272 (Pakistan);
2. agree that District 3272 and all member clubs can fully participate in Rotary grants;
3. extend the appointment of Past Governor K. M. Zainul Abedin as special adviser until 30 June 2021 and request the general secretary to provide him with an updated terms of reference.

124. Rotary Foundation Financial Review

Statement: To ensure that the Trustees are fully aware of the status of TRF finances before they consider trustee items with potential financial impact, the chair of the Rotary Foundation Finance Committee reviews the current status of Foundation finances, including current and future revenues and expenses, with the Trustees at the outset of each meeting.

DECISION: The Trustees thank Trustee Webb for his review of Rotary Foundation finances.

DECISIONS 125–129

Finance Committee Report

Meeting 27 March 2019, 16, 19–22 April 2019

125. Finance Committee Report

Statement: The Finance Committee met jointly with the RI Finance Committee and discussed the 2019–20 budget, the five year forecast, World Fund use, and the Foundation funding model, among other topics.

DECISION: The Trustees

1. thank the Finance Committee for its report;
2. approve funding from the World Fund to pay operating expenses in 2018–2019 and in 2019–2020 to fund application of the new accounting standard;
3. approve a one-time transfer of US\$8.2 million and all associated earnings that were previously transferred as excess World Fund to the Endowment Fund in accordance with Rotary Foundation Code of Policies Article 54;
4. request the general secretary to further investigate other alternatives for increasing the availability of World Fund for program activities, report at the October 2019 Trustees meeting.

126. Review of Outsourcing Opportunities

Statement: In decision 56, October 2018, the Trustees requested the general secretary to work with a Rotarian volunteer consultant to study the potential offshoring or outsourcing of Secretariat activities. District Governor Dushan Soza was selected to complete this study, and made several recommendations to the Trustees.

***DECISION:* The Trustees**

1. thank Rotarian volunteer consultant District Governor Dushan Soza for his report on the potential offshoring or outsourcing of Secretariat activities;
2. note that the Secretariat currently offshores and outsources a significant number of processes and has several initiatives underway to continue to evaluate processes and offshore/outsource them as possible;
3. request the general secretary to continue to pursue opportunities to drive cost savings as these initiatives are completed;
4. requests the Board to request the general secretary to review costs of the international offices for report to the Board and Trustees at their April 2020 meeting.

127. Review of Recommendations from the Sunsetting Work Group

Statement: In decision 56, October 2018, the Trustees requested that a working group be appointed to review Foundation activities that could cease (sunset). Trustees Growney Selene, Høyen, and Phelps were appointed to the work group, and made several recommendations to

the Trustees regarding upcoming Foundation budgets and activities.

***DECISION:* The Trustees**

1. request that when approving Foundation committee meetings, future Trustee chairs consider that those meetings be held whenever feasible adjacent to Trustee meetings to help limit meeting costs;
2. request the general secretary to review the composition of the Investment Committee, for report to the Trustees at its October 2019 meeting;
3. agree that there should be only one in-person meeting of The Rotary Foundation Programs Committee, beginning in 2019–20;
4. agree that, beginning in 2019–20, Rotary Foundation committee meetings of less than two days should meet by correspondence only, unless the meeting occurs the day before or after another committee meeting and provides travel-related cost-savings to the committee members;
5. agree that Trustee meetings should be scheduled for no more than three days, beginning in 2020–21;
6. defer consideration of partner expense reimbursements to its June 2019 meeting;
7. agree that all new activities and programs approved by the Trustees must include success criteria and further must be reviewed by the Trustees within three years of approval to determine if these criteria have been met;
8. request the general secretary to investigate opportunities for streamlining grant processing support (particularly Areas of Focus management), for report to the October 2019 Trustees meeting;
9. request the general secretary to work with the Sunsetting Work Group to further investigate the opportunities for streamlining peace program operations and the volunteer structure, for report to the October 2019 Trustees meeting;
10. request the general secretary to work with the Sunsetting Work Group to further investigate opportunities for streamlining major gifts fundraising support, including the structure of endowment major gift advisers, major gift initiatives,

and major gift officers, for report to the October 2019 Trustees meeting;

11. requests the 2019–20 trustee chair to reappoint the 2018–19 Sunsetting Work Group.

128. Budget for 2019–20 Contributions, Net Investment Return, Grants, and Other Income and Program Awards

Statement: The Trustees annually approve a contributions and program awards budget for the following year. The portion of the budget that relates to expenditure of unrestricted contributions for program awards requires Board approval.

DECISION: The Trustees

1. approve the 2019–20 contributions budget of US\$339,685,000;
2. approve the 2019–20 net investment return budget of \$43,553,000 and the grants and other income budget of \$2,268,000;
3. approve the 2019–20 total program awards expenditures budget of \$304,310,000 from the following funding sources:
 - a. Annual Fund: \$137,911,000
 - b. Temporary restricted contributions and endowment spendable earnings: \$2,898,000
 - c. Donor Advised Funds (subject to approval by the RI Board of Directors): \$10,000,000
 - d. PolioPlus Fund: \$153,500,000
4. in accordance with RI Bylaw section 23.050., request the RI Board to approve the 2019–20 budget for program award expenditures from the Annual Fund unrestricted contributions:

District Designated Fund:	\$73,785,000
World Fund:	\$40,888,000
Donor Advised Fund:	\$10,000,000
Total:	<u>\$124,673,000</u>

129. 2019–20 Endowment Fund Spending /Distribution Rates and Spendable Earnings

Statement: In accordance with the Endowment Fund’s Investment Policy Statement, the Trustees annually approve spending rates for each market value tier.

DECISION: The Trustees

1. approve the following rates to be applied to endowed funds to calculate spendable earnings and allocations for fiscal year 2019–20:

Expense	Tier			
	1	2	3	4
Program awards	0%	1.8%	3.2%	4.1%
Program operations	0%	0%	0%	0%
Fund development	0%	0%	0.3%	0.3%
General administration	0%	0.2%	0.5%	0.6%
Total spending rate	0%	2.0%	4.0%	5.0%

2. approve US\$17,919,782 to be distributed from endowed funds for the 2019-20 budget year allocated as follows: program awards of \$14,666,873, program operations of \$0, fund development of \$1,095,698, and general administration expenses of \$2,157,211.

130. Investment Committee Report

Statement: The Investment Committee met on 19 February 2019 via webinar and discussed an update on investment performance and investment activity, among other topics.

DECISION: The Trustees thank the Investment Committee for its report.

131. Audit Committee Report

Statement: The Audit Committee met on 20–22 March 2019 in Evanston, IL, USA and discussed audit committee resources, recently completed audits, and a summary of management action plans, among other topics.

DECISION: The Trustees thank the Audit Committee for its report.

2018–19 Budget Review

- The Approved 2018–19 Rotary Foundation’s budget.

2018–19 Budget

Revenues:	US\$359,385,000
Program Awards:	274,151,000
Program Operations	29,485,000
Operating Expenses	28,271,000
Total Expenses:	<u>331,907,000</u>

Change in net assets before variances:	24,478,000
Net total of revenue and reserve funding over expenses:	<u>\$27,186,700</u>

- 2018–19 budget variances:

Expenses:	
August 2018 meeting:	\$80,000
October 2018 meeting:	\$211,300
January 2019 meeting:	\$0
April 2019 meeting:	\$0

Total 2018–19 Trustee meeting expense:	<u>\$291,300</u>
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Total 2018–19 change in net assets including variances:	<u>\$27,186,000</u>
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- Decisions taken at this meeting, impacting future years:

	<u>2018–19</u>	<u>2019–20</u>	<u>2020–21</u>
<u>Program Awards</u>			
Dec. 108 Joint Comm. On Part.	-		90,000
Total Program Awards:	-	-	<u>\$90,000</u>

<u>Expenses</u>			
Dec. 110 Areas of Focus MGI update	-	10,000	-
Dec. 116 Areas of Focus Review Comm. Report	-	25,000	(61,000)
Total Expenses:	\$0	\$35,000	<u>\$(61,000)</u>
Net Surplus/ (Deficit):	0	<u>\$(35,000)</u>	<u>\$(29,000)</u>

Adjournment

The foregoing is a true record of the proceedings at the fourth meeting of the 2018–19 Rotary Foundation Trustees held on 22–24 April 2019 in Evanston, IL, USA.

Brenda M. Cressey, Chair
The Rotary Foundation

Andrew McDonald
Secretary of the Meeting

ATTEST:

John Hewko
General Secretary, RI

APPENDIX A

SUMMARY OF DECISIONS TAKEN ON BEHALF OF THE TRUSTEES (Decision 91)

TRF Cadre of Technical Advisers

- The cadre reviewed 81 grants, including four advance site visits, 40 interim monitoring visits, two PolioPlus audits, five random audits, two stewardship assessments, six targeted audits, and 22 technical reviews.

PolioPlus Partner Grants

- US\$29,000 for social mobilization activities in Myanmar;
- \$76,131 for social mobilization activities in Mali.

Foundation Programs

- 301 global grants awarded for a total of \$8,741,638 in World Fund;
- 100 district grants awarded for a total of \$4,312,697 in DDF.

Waivers

- **Global Grant 1746215:** Mobilität und Coaching für die Spezialisierte Ambulante Palliativ-Versorgung (SAPV) in Coburg
- **Districts/Clubs:** Rotary Clubs of Coburg, Germany (District 1950) and Feldkirch, Austria (District 1920)
- **Project Description:** to support palliative care efforts in Coburg, Germany.
- **World Fund:** \$15,406
- **Total Funding:** \$38,515
- **Decision:** Upon visiting the project after its conclusion, a Cadre member noted that a significant expenditure for an ambulance occurred before the grant's approval. In keeping with Trustee policy, the Foundation requested the return of the grant funds that were budgeted for this expense. The ambulance was in fact purchased by the hospital with which the club was partnering, despite the club's warnings that they should not do so. Rather than penalize the club for the hospital's action (over which the club provided reasonable oversight), the general secretary granted a waiver to enable them to close the grant. The Foundation did not ask them to refund the funds for the ambulance.
- **Global Grant 1982620:** CSR Science, Technology, Engineering and Math (STEM) Promotion
- **Districts/Clubs:** Rotary Clubs of Ghaziabad South End, Uttar Pradesh, India (District 3012) and Kathmandu, Nepal (District 3292)

- **Project description:** to provide Science, Technology, Engineering, and Math lab equipment and teacher training for schools in Ghaziabad and the NCR region, India.

- **World Fund:** \$23,105
- **Total Funding:** \$82,282

- **Decision:** The general secretary always provides a pre-review of applications that involve CSR funding so corporations can move forward with confidence in donating to The Rotary Foundation. In this instance, the pre-review was more involved than usual and the general secretary moved the application forward without securing the results of the community assessment. When the application was submitted, the community assessment was not of suitable quality, although the project itself was acceptable. Rather than create a difficult situation for the sponsoring clubs with the corporate, the general secretary issued a waiver to allow the application to move forward.

- **Global Grant 1984060:** Scholarship for Masashi Yamori
- **Districts/Clubs:** Rotary Clubs of Düsseldorf-Kaiserpfalz, Germany (District 1870) and Otsu, Shiga, Japan (District 2650)
- **Area of Study:** Post-doctorate level research on oral and maxillofacial surgery methods to assist cancer patients.
- **Study Institute:** Düsseldorf University Hospital under Prof. Dr. Norbert R. Kübler
- **Travel Dates:** 25 June 2019 – 3 January 2020 (6 months, 10 days)
- **World Fund:** \$15,250
- **Total Funding:** \$30,500
- **Decision:** The general secretary issued a waiver to permit a scholarship for less than an academic year to allow this eminently qualified scholar to proceed.

- **Global Grant 1860348:** Ponseti Method -PM- Training for the Treatment of Clubfoot in Colombia
- **Districts/Clubs:** Rotary Clubs of Bogota Centenario, Colombia (District 4281) and District 6000, USA
- **World Fund:** \$43,922
- **Total Funding:** \$105,978
- **Decision:** This project is to provide training to medical professionals in the use of the Ponseti Method to treat clubfoot children in several cities in Colombia. The goal is to ensure that children born

with clubfoot can receive treatment necessary to correct the issue. Rotary Grants Terms and Conditions require a vocational training team to comprise a Rotarian team leader and a minimum of two team members. The sponsors of Global Grant 1860348 requested a waiver from this requirement, sending two international trainers for the first visits and one international trainer each for the subsequent five trips to provide follow-up training at the respective beneficiary clinics. In-country trainings will also occur with local trainers who will be gaining skills necessary to continue to provide in-country training and follow-up visits after the project concludes. All trips will take place within a 12-month period. The general secretary issued a waiver to allow this commendable project to proceed.

APPENDIX D

MAJOR GIFTS INITIATIVE COMMITTEES TERMS OF REFERENCE (Decision 110)

Areas of Focus Major Gifts Initiative (AOF•MGI) committee members are long-term fundraisers who work to identify, cultivate, and solicit Rotarians and others who have an interest in supporting specific areas of focus. The AOF•MGI emphasis is on raising endowment support through outright gifts and commitments of US\$25,000–\$100,000 or more. With other Rotary volunteers and professional staff, major gifts initiative committees are centered on a particular area of focus in which members have a passion. Committee members nurture relationships with donors and prospects that lead to increased major gift support for the AOF•MGI.

MAJOR GIFTS INITIATIVE CHAIRS AND COMMITTEE MEMBERS

Committee chairs ideally are experienced fundraisers who have previously served as a MGI committee member and/or have experience as an endowment/major gift adviser (E/MGA). Chairs take their leadership responsibilities seriously, setting strategies and monitoring progress. Chairs help members to identify events and activities that cultivate new gifts and commitments specifically for an area of focus. Additionally, chairs serve as a member of the MGI Oversight Team, which is led by a Trustee liaison from the Fund Development Committee. The MGI Oversight Team reports to the Fund Development Committee and then to the Trustees through the Trustee liaison.

Between five to seven committee members plus one chair are appointed to each MGI Committee for a three-year term. The Trustee chair-elect may lengthen or shorten the term by one year due to member performance. It is highly encouraged to have a current or past an endowment/major gift adviser (E/MGA) with a proven track record for raising major gifts within each committee.

The MGI committees report to the MGI Oversight Team and assists with the identification, cultivation, solicitation and stewardship of major gifts to the area of focus they have been appointed. Members provide leadership and coordination of the effort and serve as a volunteer resource for all major gift initiative matters in their region relating to raising major gift support for the areas of focus. MGI committee members should be personally vested in the area of focus they are supporting through an outright gift or bequest.

FUNDRAISING RESPONSIBILITIES

MGI Specific Fundraising

- Collaborate with endowment/major gifts advisers (E/MGA), regional Rotary Foundation coordinators (RRFC) and district leadership, as well as Fund Development staff to identify, cultivate, solicit and steward potential and current supporters for the areas of focus, with an emphasis on gifts of \$25,000 to \$100,000 or more.
- Participate in conversations on gifts to other areas of focus if that is the donor's primary interest.
- Assist in developing personalized cultivation and solicitation plans for prospective major gift donors each year as feasible.
- Work closely with the Trustees, the MGI Oversight Team, E/MGAs, RRFCs and district leadership to host cultivation—solicitation—stewardship events for Foundation supporters and prospective supporters.
- Work with regional leadership on established initiatives such as corporate social responsibility (CSR) to identify opportunities for non-Rotarian contributions.
- Strive to hold one cultivation event a year.

General Foundation Fundraising

- Lead by example through a major gift or Bequest Society commitment to the Foundation according to his/her own financial capacity.
- Reinforce the importance of The Rotary's Foundation through MGI presentations at district conferences and other Rotary activities.

TRAINING & EDUCATION ACTIVITIES

- Annual training meeting early in the new Rotary year at RI World Headquarters.
- Participate in quarterly progress and review calls.
- Provide quarterly written reports focusing on achievements and challenges.
- Present on the Major Gifts Initiatives at zone and district activities.
- Educate zone leaders about giving opportunities to the area of focus.

APPENDIX E

AREAS OF FOCUS MAJOR GIFT INITIATIVES PROGRESS TOWARD GOALS (Decision 110)

Area of Focus	MGI Kickoff Date	Rotary Year 2016-17	Rotary Year 2017-18	Rotary Year 2018-19	Total Towards Goal*	Goal	Balance to Goal
Water and Sanitation	1 July 2016	\$8,883,787	\$4,120,725	\$5,374,228	\$18,378,740	\$25,000,000	\$6,621,260
Basic Education and Literacy	1 July 2017	\$5,712,391	\$3,820,179	\$5,734,967	\$15,267,537	\$25,000,000	\$9,732,463
Peace**	1 July 2017	\$878,314	\$11,535,517	\$3,244,927	\$15,658,757	\$75,000,000	\$59,341,243
Health	1 July 2018	\$16,896,428	\$6,368,847	\$3,703,772	\$26,969,047	\$50,000,000	\$23,030,953
Economic and Community Development	1 July 2019	\$1,395,257	\$2,358,185	\$801,613	\$4,555,054	\$25,000,000	\$20,444,946
Multiple	N/A	\$425,000	\$1,428,514	\$110,000	\$1,963,514	N/A	N/A
Not specified	N/A	\$2,255,801	\$3,209,816	\$1,707,384	\$7,173,000	N/A	N/A
WASH in Schools	N/A	\$30,000	\$30,000	\$0	\$60,000	N/A	N/A
Totals		\$36,476,978	\$32,871,782	\$20,676,890	\$90,025,651	\$200,000,000	\$119,170,864

* As of 31 December 2018

** Includes gifts to the Rotary Peace Centers beginning 1 July 2017

APPENDIX F

AREAS OF FOCUS POLICY STATEMENTS FOR DISEASE PREVENTION AND TREATMENT (Decision 116)

DISEASE PREVENTION AND TREATMENT (DPT)

Rotary supports activities and training that reduce the cause and effect of disease. Projects will strengthen the health care system* through improved access, expansion of services, provision of relevant medical equipment or training of healthcare personnel. Eligible projects will include a plan for measuring impact.

I. Area of Focus Statement of Purpose and Goals

The Rotary Foundation enables Rotarians to prevent and treat disease and promote health through the following actions:

1. Improving the capacity of local health care professionals
2. Promoting disease prevention and treatment programs that limit the spread of communicable diseases and reduce the incidences of and complications from non-communicable diseases
3. Strengthening health care systems
4. Providing clinical treatment and rehabilitation of physical disabilities
5. Supporting graduate studies for career-minded professionals related to disease prevention and treatment

II. Parameters for Eligibility

TRF considers the following activities to be within the scope of the disease prevention and treatment area of focus:

A. Prevention and Control of Communicable Diseases

1. Disease testing projects, including health fairs, provided they include counseling and referrals/admission for treatment
2. Education with intervention strategies for preventing transmission of disease.
3. Provision of equipment used specifically to offer remote health care services to populations without access to the local primary care system
4. Provision of medical equipment to health care facilities that provides documentation to TRF with proof of ownership and operational and

maintenance plans. Equipment must meet proper technology standards and satisfy relevant environmental conditions of power, water, and air quality. Grantees must provide documentation showing that personnel receive adequate training on operating and maintaining the equipment or a training plan that ensures this compliance.

5. Provision of surveillance systems, along with training in their operation, to track and monitor disease diagnosis and treatment
6. Treatment of communicable diseases that includes a component designed to prevent disease, improve training of health service professionals, or provide public health education to improve the long-term health of a community
7. Prevention and control of mosquito-borne illnesses and illnesses transmitted by other vectors (organisms that transmit pathogens). High priority projects will include identification of environmental hazards specific to vector-borne diseases and remedial strategies.
8. Projects may address environmental hazards related to the containment of infection and transmission of disease such as disposal of medical waste in health care facilities.

B. Prevention and Control of Non-communicable Diseases

1. Provision of prevention and treatment services for physical and mental illnesses and related disabilities
2. Development of community education and early screening and treatment programs designed to reduce the incidence and prevalence of chronic diseases
3. Provision of equipment used specifically to offer outreach health care services to populations without access to the local primary care system
4. Provision of medical equipment to health care facilities that provide documentation to TRF with proof of ownership and operational and maintenance plans. Equipment must meet proper technology standards and satisfy relevant

* Health care system includes both the public and private sectors engaged in the delivery of health care services.

environmental conditions of power, water, and air quality. Grantees must provide documentation showing that personnel receive adequate training on operating and maintaining the equipment or a training plan that ensures this compliance.

5. Provision of life-saving surgeries and surgeries to address congenital problems, provided these surgical interventions include beneficiary assessment and appropriate follow-up care
6. Treatment of non-communicable diseases, including mental illness, that provides a component to prevent disease, train health service professionals, or offer public health education with measurable outcomes, for improving the long-term health of a community
7. Projects designed to prevent traffic/vehicle related injuries provided they can demonstrate measurable reductions in such injuries
8. Sponsorship of vocational training teams with specific medical and technical skills, certification, and at least two years of professional experience related to the prevention and treatment of disease
9. Vehicles, personnel, and equipment to provide emergency medical services. Ambulances must be new and purchased locally and include documentation of ownership, operation, maintenance, repair, and security systems by the appropriate health care facility. All other global grant requirements must be met.

The following activities are outside the scope of the disease prevention and treatment area of focus and ineligible for global grant funding:

1. Projects that consist exclusively of the purchase of furniture, supplies, consumables, and non-medical equipment, such as solar panels
2. Medical missions/surgical team trips that do not provide educational outreach programs or significant capacity-building in the project country with the exception of lifesaving surgeries and surgeries to address congenital defects
3. Eco-stove/indoor stove-top projects
4. Nonconventional or alternative therapies for treating physical and mental disabilities, unless they include clinical protocols supervised by health care professionals.
5. Treatment of pervasive developmental disorders, and other spectral disorders, including autism, unless there are measurable clinical interventions that demonstrate efficacy on improving the long-term impact of the disorder
6. Nutrition programs unless they are targeted towards clinical malnutrition or interventions in the first 1,000 days between a woman's pregnancy and her child's second birthday
7. Introduction of new technology without documentation of prior successful use of this technology in the project area and appropriate operations, maintenance repair, and replacements systems in place
8. General health education and public safety programs

III. Elements of Successful Humanitarian Projects and Vocational Training Teams

Disease prevention and treatment global grants are:

1. Sustainable, enabling communities to address their disease prevention and treatment needs after the Rotary club/district has completed its work
2. Measurable, according to the standard measures in the Monitoring and Evaluation Toolkit or measures developed by the sponsors
3. Community-driven, designed to meet needs identified by the host community
4. Aligned with an area of focus as defined in the policy documents

IV. Elements of Successful Scholarships

Global grants support graduate-level scholarships for professionals interested in pursuing careers related to the area of focus. TRF considers the following when evaluating global grant scholarship applications:

1. The applicant's previous work experience in the field of disease prevention and treatment
2. Academic program alignment with disease prevention and treatment, such as public health and advanced degrees in nursing and medicine
3. The applicant's career plans as they relate to disease prevention and treatment

APPENDIX G

AREAS OF FOCUS POLICY STATEMENTS FOR MATERNAL AND CHILD HEALTH (Decision 116)

MATERNAL AND CHILD HEALTH (MCH)

Rotary supports activities and training to improve maternal health and reduce mortality for children under five. Projects will strengthen the health care system* through improved access, expansion of services, provision of relevant medical equipment, or training of healthcare personnel. Eligible projects will include a plan for measuring impact.

I. Area of Focus Statement of Purpose and Goals

The Rotary Foundation (TRF) enables Rotarians to improve the health of mothers and their children through the following actions:

1. Reducing the neonatal/newborn mortality rate
2. Reducing the mortality and morbidity rate for children under five
3. Reducing the maternal mortality and morbidity rate
4. Improving access to essential medical services, trained community health workers, and health care providers for mothers and their children
5. Supporting graduate studies for career-minded professionals related to maternal and child health

II. Parameters for Eligibility

TRF considers the following activities to be within the scope of the maternal and child health area of focus:

1. Maternal prenatal, delivery, and antenatal care
2. Training and/or “train the trainer” initiatives for maternal and child community healthcare workers and professionals
3. Provision of medical equipment to health care facilities that provides documentation to TRF with proof of ownership and operational and maintenance plans. Equipment must meet proper technology standards and satisfy relevant environmental conditions of power, water, and air quality. Grantees must provide documentation showing that personnel receive adequate training on operating and maintaining the equipment or a training plan that ensures this compliance. MCH equipment projects must provide educational activities for women related to healthy pregnancy, delivery, or neonatal care.
4. Education about and access to family planning and other sexual and reproductive health care

interventions and services provided within the health care system

5. Sponsorship of vocational training teams with specific medical and technical skills, certification, and at least two years of professional experience related to pregnancy, delivery, neonatal care, and other MCH health care needs
6. Relevant immunizations for women, children under five, and adolescent girls
7. Interventions to combat pneumonia, diarrhea, malaria, measles, and other major causes of disease in women and children under five
8. Interventions to reduce the transmission and impact of sexually transmitted disease in adolescents and women
9. Prevention of mother-to-child transmission of HIV
10. Promotion of breastfeeding and other actions that prevent, reduce, and treat the effects of malnutrition
11. Lifesaving surgeries and surgeries to address congenital problems, provided these surgical interventions include appropriate beneficiary assessment and follow-up care
12. Projects may address environmental hazards related to the containment of infection and transmission of disease, such as disposal of medical waste in health care facilities.

The following activities are outside the scope of the maternal and child health area of focus and ineligible for global grant funding:

1. Medical missions/surgical team trips that do not provide significant capacity-building in the project country with the exception of lifesaving surgeries and surgeries to address congenital defects
2. General feeding, garden projects, food supplement, and school-based nutrition programs
3. Eco-stove projects/indoor stove-top projects
4. Playgrounds and general childhood exercise and wellness projects
5. Nonconventional or alternative therapies for treatment of physical and mental disabilities, unless they include clinical protocols supervised by health care professionals
6. Sexual and reproductive health projects for

adolescents unless they are implemented and carried out within the health care system under the supervision of licensed health care professionals

7. Furniture, supplies, and consumables, unless they are part of a larger MCH project that meets the eligibility parameters for global grants

III. Elements of Successful Humanitarian Projects and Vocational Training Teams

Maternal and child health global grants are:

1. Sustainable, enabling communities to address their maternal and child health needs after the Rotary club/district has completed its work
2. Measurable, according to the standard measures in the Monitoring and Evaluation Toolkit or measures developed by the project sponsors
3. Community-driven, designed to meet needs identified by the host community
4. Aligned with an area of focus as defined in the policy documents

IV. Elements of Successful Scholarships

Global grants support graduate-level scholarships for professionals interested in pursuing careers related to the area of focus. TRF considers the following when evaluating global grant scholarship applications:

1. The applicant's previous work experience in the field of maternal and child health
2. Academic program alignment with maternal and child health, such as epidemiology, nutrition, global health, public health, and health promotion and advanced degrees in nursing and medicine
3. The applicant's career plans as they relate to maternal and child health

APPENDIX H

AREAS OF FOCUS POLICY STATEMENT REVISION FOR WATER, SANITATION, AND HYGIENE (Decision 116)

WATER SANITATION AND HYGIENE (WASH)

Rotary supports activities that encourage the sound management and protection of freshwater resources and provides universal and equitable access to safe drinking water, sanitation and hygiene. TRF seeks to empower governments, institutions and communities to manage water, sanitation and hygiene services, through environmentally-sound, measurable and sustainable interventions.

I. Area of Focus Statement of Purpose and Goals

TRF enables Rotarians to assist communities in achieving sustainable access to water, sanitation and hygiene by:

1. Facilitating universal and equitable access to safe and affordable drinking water;
2. Improving water quality by protecting and maintaining surface and ground water resources, reducing pollution and contaminants and promoting waste water reuse;
3. Facilitating universal and equitable access to improved sanitation and waste management to achieve open defecation free communities;
4. Improving community knowledge, behaviors and practices of hygiene that help to prevent the spread of disease;
5. Strengthening the capacity of governments, institutions, and communities, to develop, finance, manage and maintain sustainable water and sanitation services;
6. Funding graduate scholarships for career-minded professionals related to water, sanitation and hygiene.

II. Parameters for Eligibility

TRF considers activities targeting the following to be within the scope of the water sanitation and hygiene area of focus:

1. Access to safe drinking water including water supply, storage, purification, treatment and source water protection;
2. Access to improved sanitation, defined as the collection, treatment and disposal of human

excreta/urine through the provision of sanitation infrastructure, fecal-sludge management, treatment and disposal;

3. Targeted hygiene education that is participatory and promotes healthy changes in behavior, such as, handwashing, safe disposal of human waste, safe water storage and menstrual hygiene management. Hygiene programming must identify the factors that prevent or enable the adoption of positive behaviors and address the identified barriers effectively;
4. Watershed management for source water protection and recharge of surface and groundwater resources;
5. Water supply for production (crops, livestock, etc.);
6. Development of sustainable management practices to support water and sanitation services, including community governance, financing and planning, system maintenance and delivery to all;
7. Strategic advocacy initiatives to encourage regional, national, and local authorities to implement policies and processes supporting sustainability such as the allocation of funding for WASH services, establishment of national standards and guidelines, certifications, etc.
8. Vocational training teams with professional experience in water, sanitation, hygiene and/or water resource management;
9. Scholarships for graduate-level study in programs related to water, sanitation and hygiene and integrated water resource management.

The following activities are outside the scope of the water, sanitation and hygiene area of focus and ineligible for global grant funding:

1. Hygiene programming that is focused solely on increasing knowledge and providing standardized information rather than changing behavior. One-time education sessions are not eligible.
2. Single-event river or beach clean-ups that are not embedded in a watershed management project
3. Projects that are exclusively for the construction of water and sanitation systems

III. Elements of Successful Humanitarian Projects and Vocational Training Teams

Water, Sanitation and Hygiene Global grants are:

1. Sustainable – communities and governments are more likely to address their water and sanitation and hygiene needs after a Rotary club/district has completed its work. In addition to infrastructure improvements, projects must include activities to strengthen governance and financing, build skills and knowledge, promote behavior change, and develop an operations and maintenance plan to sustain water and sanitation services;
2. Measurable – sponsors are encouraged to set targets and identify measurements to track project outputs and outcomes in WASH and water resource management. Standard measures for WASH can be found in the Global Grant Monitoring and Evaluation Plan
3. Community driven – designed, constructed and maintained by the host community based upon the needs they have identified;
4. In partnership – with governments, communities, and the private sector to build, own and operate sustainable water and sanitation systems.

IV. Elements of Successful Scholarships

Global grants support graduate-level scholarships for career-minded professionals. TRF considers the following when evaluating global grant scholarship applications:

1. The applicant's previous work experience in the field of water, sanitation and hygiene and/or water resource management;
2. Academic program alignment with water, sanitation and hygiene and/or water resource management. Examples of academic programs include water/sanitation engineering, environmental engineering, integrated water resource and systems management, hydrology, and public health;
3. The applicant's career plans as they relate to water and sanitation.

APPENDIX I

AREAS OF FOCUS POLICY STATEMENT REVISION FOR PEACEBUILDING AND CONFLICT PREVENTION (Decision 116)

PEACEBUILDING AND CONFLICT PREVENTION

Rotary supports the training, education, and practice of peacebuilding and conflict prevention through initiatives that help transform* conflict within our communities and around the world.

I. Area of Focus Statement of Purpose and Goals

TRF seeks to impact peacebuilding and prevent conflict by enabling Rotarians to be active in:

1. Enhancing individual and community capacity for transforming conflict and building peace.
2. Educating and training community members in the areas of peace education, peace leadership, and conflict prevention and resolution.
3. Providing services that promote and support social integration of vulnerable populations.
4. Improving dialogue and community dynamics over the use and management of natural resources.
5. Supporting career-minded professionals seeking to pursue graduate-level studies in peace and conflict prevention/resolution.

II. Parameters for Eligibility

TRF considers the following activities to be within the scope of the peacebuilding and conflict prevention area of focus:

1. Group activities including workshops, trainings, and other programs that support peace leadership, peace education, engaging in Positive Peace**, community integration, facilitated dialogue and conflict prevention and transformation.
2. Educating youth on constructive ways to prevent, manage and transform conflict; this can include after-school or community based programming with robust peacebuilding and conflict diversion activities.
3. Training programs or campaigns to address conflict,

or risk of conflict, over natural resources and the environment.

4. Providing legal, psychological, social and rehabilitative services that promote social integration of vulnerable populations. These populations may include at-risk youth, refugees, trafficked people and others affected by conflict or at-risk of violence.
5. Vocational training teams supporting the above activities.
6. Scholarships for graduate-level study in programs related to peace and conflict studies.

The following activities are outside the scope of the peacebuilding and conflict prevention area of focus and ineligible for global grant funding:

1. Peace conferences in which Rotarians are the primary participants;
2. We welcome youth and community programming within the Global Grants. However, programs with a sole focus on music, sports or extra-curricular programming are not eligible. These programs must have robust peacebuilding and conflict diversion aspects in order to receive Global Grant funding.
3. Enrollment at a Rotary Peace Center partner university in the same, or similar, academic program as those pursued by Rotary Peace Fellows.

Elements of Successful Humanitarian Projects and Vocational Training Teams

Global grants are:

1. Sustainable – communities are able to make progress in their peacebuilding and conflict prevention needs after the Rotary club/district has completed its work;
2. Measurable – sponsors must select at least one standard measure for their area of focus from the Monitoring and Evaluation Toolkit and can also use

* Conflict transformation is a process that focuses on identifying and working with the underlying conditions, relationships and social structures that give rise to conflicts. Through this process, one moves beyond conflict resolution or management, to change the environment which has led to conflict. This focus on transformation helps ensure a sustainable peace and decreases the likelihood of conflicts reoccurring.

** Positive Peace is a framework utilized by the Institute for Economics and Peace which is a strategic partner of Rotary International. This framework helps address the root causes of conflict and creates conditions that foster peace. To learn more about this partnership work in Positive Peace, please visit: <https://www.rotary.org/en/institute-economics-and-peace>.

their own measures to show the good results of their work;

3. Community driven – designed by the host community based upon the needs they have identified.

Elements of Successful Scholarships

Global grants support graduate-level scholarships for career-minded professionals. TRF considers the following when evaluating global grant scholarship applications:

1. The applicant's previous work experience in the field of peace and conflict prevention/resolution including relevant work or research experience with non-governmental organizations, governmental agencies and/or international organizations.
2. Academic program alignment with peace and conflict transformation.
 - a. Examples of preferred academic programs include conflict prevention/resolution, peace and justice studies, social entrepreneurship related to peace, security studies etc. International Relations or other degrees with a specialization in peace and conflict such as Human Rights Law;
 - b. Programs that will be considered favorably include those that focus directly on peace and conflict issues and outcomes;
 - c. Programs that will not be considered favorably include those that relate to general international relations with no emphasis on peacebuilding, conflict transformation or conflict prevention/resolution or other general social development degrees.
3. The applicant's career plans as they relate to peace and conflict transformation, prevention, and resolution.

APPENDIX J

AREAS OF FOCUS POLICY STATEMENT REVISION FOR COMMUNITY ECONOMIC DEVELOPMENT (Decision 116)

COMMUNITY ECONOMIC DEVELOPMENT

Rotary supports investments in people and/or communities to alleviate poverty by creating measurable and enduring economic improvements in poor and underserved communities.

For TRF, community economic development is the process of increasing the economic strength and effectiveness of communities through creating access to sustainable livelihoods, improving quality of life, and increasing participation in community decision-making processes to achieve sustainable, measurable and long-term economic improvements.

I. Area of Focus Statement of Purpose and Goals

TRF enables Rotarians to invest in people and/or communities to alleviate poverty by creating sustainable, measurable and long term economic and social improvements in their communities and livelihoods by:

1. Building the capacity of community leaders, local organizations, and community networks to support economic development in impoverished communities;
2. Developing opportunities for productive work and improving access to sustainable livelihoods;
3. Empowering marginalized communities through access to economic opportunities and services;
4. Building the capacity of entrepreneurs, social businesses and locally-supported business innovators;
5. Addressing gender disparities in economic participation and access to capital and markets;
6. Addressing class disparities in economic participation and access to capital and markets
7. access to renewable energy and energy efficiency measures to create more sustainable and economically resilient communities;
8. Empowering and enabling communities by facilitating the development of environmental and natural resource conservation skills and techniques;
9. Strengthening economic resilience and adaptive

capacity¹ to environmental and climate-related hazards and natural disasters for direct benefit to the community;

10. Developing and supporting community-based emergency preparedness services to improve economic resiliency;
11. Supporting graduate-level studies for career-minded professionals related to community economic development.

II. Parameters for Eligibility

TRF considers activities targeting the following to be within the scope of the community economic development area of focus:

1. Access to financial services for the poor, which may include but are not limited to microcredit, mobile banking, savings, or insurance;
2. Training related to community economic development including but not limited to entrepreneurship, community leadership, vocational, and financial literacy;
3. Developing community members' economic and participatory potential through leadership training and economic empowerment tools, with an emphasis on initiatives for women, refugees, and young adults;
4. Small business/cooperative/social enterprise development and income-generating activities for the poor, including but not limited to the organization of village-wide businesses that provide employment;
5. Agricultural development for subsistence and small farmers, including but not limited to capacity building and the facilitation of access to markets and capital;
6. Community-led and coordinated adopt-a-village or comprehensive community development activities;
7. Supporting and enabling equal and effective participation in economic opportunities for women, refugees, and other marginalized populations;
8. Providing access to renewable, clean and efficient energy through sustainable, locally purchased, innovative technology combined with substantial

and socioeconomic changes.

¹ Adaptive capacities are the social and technical skills and strategies of individuals and groups that are directed towards responding to environmental

- training directly connected to economic outcomes;
9. Training communities in conservation resource management to preserve, protect and sustainably utilize natural resources for economic benefit and growth;
 10. Improving resiliency and adaptation to environmental and climatic changes through capacity-building to develop sustainable economic activity through tailored solutions that meet community needs.
 11. Provide training and basic resources to support emergency preparedness initiatives in communities to foster economic resiliency, including fire prevention and natural disaster preparedness;
 12. Vocational training teams supporting the above activities;
 13. Scholarships for graduate-level study in programs related to local, regional or national economic development and programs specifically designated in community economic development.

The following activities are outside the scope of the community economic development area of focus and ineligible for global grant funding:

1. Community infrastructure or equipment projects without economic outcomes and without operational and maintenance plans;
2. Community beautification projects such as parks and playgrounds;
3. Rehabilitation of community centers.

III. Elements of Successful Humanitarian Projects and Vocational Training Teams

Community economic developments grants are:

1. Sustainable - communities are able to address their community economic development needs after the Rotary club/district has completed its work;
2. Measurable - sponsors can select standard measures for their area of focus from the Monitoring and Evaluation Toolkit or use their own measures to show the good results of their work;
3. Community driven - global grants are designed by the host community based upon the needs they have identified;
4. Aligned with an area of focus - as defined in the policy documents.

IV. Elements of Successful Scholarships

Global grants support graduate-level scholarships for career-minded professionals whose goal is to improve the economic and social well-being of those in poverty (poor,

low-income or underserved communities). TRF considers the linkages among the applicant experience, the academic program and the applicant career plans when evaluating global grant scholarship applications.

1. The applicant's previous work experience in the field of community economic development. Applicants are expected to demonstrate how their work contributed to the economic well-being of poor, low-income or underserved communities at the local, regional or national level.
2. Academic program alignment with community economic development:
 - a. Examples of preferred academic programs include social science degrees with a specific focus on community economic development, and business degrees tailored for social business, micro entrepreneurship or microcredit;
 - b. Programs that will be favorably considered include those that
 - i. Focus on local, regional or national economic development strategies;
 - ii. Focus on addressing economic issues of poor, low-income and underserved communities;
 - iii. Support social business development, such as a tailored track within a Masters of Business Administration program;
 - iv. Provide a business degree to teach entrepreneurial skills or startups at the local, regional or national level;
 - v. Include "community development" in the name of the program or tailored track;
 - vi. Improve the coaching or advising capability of an individual working with small businesses or entrepreneurs.
 - vii. Focus on addressing environmental issues that impact poor, low-income and underserved communities such as environmental resource management, environmental and conservation studies, resilience planning and preparedness
 - viii. Focus on urban planning for economic development strategies.
 - c. Programs that will not be favorably considered include those that
 - i. Focus on purely theoretical, macro-level economics, politics or finance;
 - ii. Support general private business development, such as a Masters of Business Administration for non-social professions;
3. The applicant's career plans as they relate to community economic development.

- a. Careers that will be considered favorably include at least one of the following:
 - i. Focus on issues to improve the economic and social well-being of poor, low-income and underserved communities at the local, regional and national level;
 - ii. Are in a non-profit or social enterprise environment;
 - iii. Are related to advocacy for economic and social well-being, e.g. for the poor, low-income, youth, women, underserved, indigenous peoples and refugee communities.
 - iv. Focus on addressing environmental issues that impact poor, low-income and underserved communities such as environmental resource management, environmental and conservation studies, resilience-planning and preparedness.
- b. Careers that will not be considered favorably include those that focus on general business activities, general engineering, general administration in a private or corporate environment or general social work.

APPENDIX K

AREAS OF FOCUS POLICY STATEMENT REVISION FOR BASIC EDUCATION AND LITERACY

(Decision 116)

BASIC EDUCATION AND LITERACY (BEL)

Rotary supports activities and training to improve education for all children and literacy for children and adults with the following goals:

I. Area of Focus Statement of Purpose and Goals

(TRF) enables Rotarians to ensure that all people have sustainable access to basic education and literacy by:

1. Supporting programs that strengthen the community's ability to provide basic education and literacy to all;
2. Increasing adult literacy in communities;
3. Working to reduce gender disparity in education;
4. Supporting studies through global grant graduate-level scholarships for career-minded professionals related to basic education and literacy.

II. Parameters for Eligibility

TRF considers activities targeting the following to be within the scope of the basic education and literacy area of focus, when supported by a community assessment documenting the requirements of the community:

1. Improving academic outcomes in the areas of basic education in early childhood, primary, and secondary levels, and involving the participation of local school officials;
2. Educating adults in reading, writing and numeracy skills;
3. Providing professional development for teachers in curriculum implementation, effective instructional methodologies and/or student assessment, when provided by a qualified trainer;
4. Strengthening basic educational outcomes with improved educational materials and facilities supported by enhanced curriculum and new professional development for teachers;
5. Improving the academic support of before/after school programs through tutor training, teacher training, student assessment and equipment, if needed;
6. Professional development for teachers and school staff and basic educational materials/facilities enhancement, if needed, to support educators' ability to provide education for students with physical

and/or developmental disabilities to achieve greater academic outcomes;

7. Vocational training teams supporting the above activities;
8. Scholarships for international graduate-level study in programs related to basic education and literacy.

The following activities are outside the scope of the basic education and literacy area of focus and ineligible for global grant funding:

1. Projects that consist exclusively of infrastructure, vehicles, and/or equipment purchases and training for use of the aforementioned.
2. Projects that provide salaries, tuition or school supplies without the means for the community or non-Rotary entity to provide these in the future.
3. Projects that purchase only extracurricular materials, playgrounds or play materials.
4. Projects which focus on school feeding or providing infrastructure and equipment for school feeding programs.
5. Projects the community cannot sustain after grant funding ends.
6. Projects which benefit only one cohort of students such as before/after-school or tutoring programs which will only function during the life of the grant.

III. Elements of Successful Humanitarian Projects and Vocational Training Teams

Global grants are:

1. Sustainable – communities are able to address their basic education and literacy needs after the Rotary club/district has completed its work;
2. Measurable – sponsors must select at least one standard measure for their area of focus from the Monitoring and Evaluation Toolkit and can also use their own measures to show the good results of their work;
3. Community driven – designed by the host community based upon the needs they have identified;
4. Aligned with an area of focus – as defined in the policy documents.

IV. Elements of Successful Scholarships

Global grants support international graduate-level scholarships for career-minded professionals. TRF considers the following when evaluating global grant scholarship applications:

1. The applicant's previous work experience in the field of basic education and literacy;
2. Academic program alignment with basic education and literacy. Examples of academic programs include education, literacy, curriculum development, special education, and school administration;
3. The applicant's future career plans as they relate to basic education and literacy.

APPENDIX L

AMENDMENTS TO THE ROTARY FOUNDATION CODE OF POLICIES REGARDING THE REVIEW AND EVALUATION OF THE AREAS OF FOCUS (Decision 116)

31.010.3. Review and Evaluation of Areas of Focus

1. ~~Triennial~~ Evaluation of Areas of Focus

Each existing area of focus shall be evaluated by the general secretary every ~~three~~ five years for report to the Trustees. This review shall assess:

- a) Overall global success of the area of focus initiative
- b) Regional success of projects
- c) Success of strategic partnerships
- d) Community involvement
- e) Sustainability
- f) Good stewardship
- g) Level of Rotarian participation
- h) Ease of administration
- i) Ease of involvement for Rotary clubs and districts
- j) Fundraising success
- k) Enhanced public awareness of Rotary
- l) Ease of, and success in, spending accumulated funds

2. Should the Trustees find, based upon this evaluation, that Rotary cannot reasonably support the administrative, financial, or technical needs of an area of focus, the area of focus may be terminated, but no new area of focus may be proposed until the time of the ~~nine~~ ten-year evaluation.

3. Evaluation of Secretariat Support Requirements for Areas of Focus

Every ~~nine~~ ten years, the Trustees shall evaluate the areas of focus. One year before this evaluation, the general secretary will perform an internal efficiency evaluation to assess the areas of focus that the Secretariat currently supports and determine whether each area of focus can reasonably support the administrative, financial, and technical needs of different areas of focus.

4. Termination of an Area of Focus

Upon completion of the above evaluation, the Trustees, in consultation with the general secretary, may decide to terminate an area of focus when at least one of the following has been shown:

- a) The objectives of an area of focus have been successfully achieved and Rotary's commitment to an area of focus has been fulfilled.
- b) Rotary has not been successful in addressing the area of focus over at least two review periods and is unlikely to become successful within the next review period.
- c) Rotary cannot reasonably support the administrative, financial, or technical needs of an area of focus

31.010.4. Creating New Areas of Focus

At the time of the ~~nine~~ ten-year evaluation, the Trustees may consider a new area of focus after an existing one has been terminated or an assessment by the general secretary demonstrates that sufficient administrative, financial, and technical resources are available to successfully support a new area of focus without negatively affecting existing areas of focus. A review of proposed areas of focus shall be conducted by the general secretary, in consultation with the Foundation's Programs Committee, before they are considered by the Trustees. The RI Board must approve any proposed changes to the areas of focus.

[text omitted]

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