



MINUTES
of the
JANUARY 2020
ROTARY FOUNDATION
BOARD OF TRUSTEES MEETING

ROTARY FOUNDATION TRUSTEES
2019–20

CHAIR

Gary C. K. Huang
Taipei, Taiwan (Rotary Club of Taipei)

VICE CHAIR

Kenneth M. Schuppert Jr.
Decatur, AL, USA (Rotary Club of Decatur)

CHAIR-ELECT

K.R. Ravindran
Colombo, Sri Lanka (Rotary Club of Colombo)

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John Hewko
Evanston, IL, USA (Rotary Club of Kyiv, Ukraine)

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Guatemala City, Guatemala
(Rotary Club of Guatemala Sur)

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Templeton, CA, USA (Rotary Club of Paso Robles)

Hipólito S. Ferreira
Belo Horizonte, Brazil
(Rotary Club of Contagem-Cidade Industrial)

John F. Germ
Chattanooga, TN, USA (Rotary Club of Chattanooga)

Per Høyen
Gelsted, Denmark (Rotary Club of Aarup)

Jennifer E. Jones
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(Rotary Club of Windsor-Roseland)

Seiji Kita
Saitama, Japan (Rotary Club of Urawa East)

Julia D. Phelps
Greenland, NH, USA (Rotary Club of Amesbury)

Ian H. S. Riseley
Black Rock, Victoria, Australia
(Rotary Club of Sandringham)

Gulam A. Vahanvaty
Mumbai, Mah., India (Rotary Club of Bombay)

Michael F. Webb
Somerset, England (Rotary Club of Mendip)

Sangkoo Yun
Jongro-Gu, Republic of Korea
(Rotary Club of Sae Hanyang)

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** Filed only with the official copy of these minutes*

MINUTES
of the
JANUARY 2020 MEETING

Rotary Foundation Board of Trustees

The 2019–20 Rotary Foundation Trustees held their fourth meeting of the year on
18–19 January 2020 in San Diego, CA, USA

Present were Chair Gary C. K. Huang, Vice-Chair Kenneth M. Schuppert Jr., Chair-elect K. R. Ravindran, other Trustees Jorge Aufranc, Brenda M. Cressey, Hipólito S. Ferreira, John F. Germ, Per Høyen, Jennifer Jones, Seiji Kita, Julia D. Phelps, Ian H. S. Riseley, Gulam A. Vahanvaty, Michael F. Webb, Sangkoo Yun, and General Secretary John Hewko. Jeffry Cadorette attended as director liaison.

At the invitation of Chair Huang, incoming Trustees Hsiu Ming Lin, Geeta K. Manek, Aziz Memon, and Barry Rassin also attended.

Andrew McDonald served as secretary of the meeting with assistance from Matt Hohmann. Other staff present were David Alexander, Jim Barnes, Victor Barnes, Michele Berg, Julie Burke, Faiz Hanif, Eric Jones, Abby McNear, Carol Pandak, Steven Routburg, Eric Schmelling, Thomas Thorfinnson, Doris Xie, and Nora Zei. Misa Mais and Eiko Terao served as staff interpreters.

The general secretary prepared a memorandum of items for consideration at this meeting. Items from that memorandum, as well as other items considered during the meeting that were acted upon, constituted the meeting's agenda as follows:

* * * * *

57. Approval of the October 2019 Meeting Minutes

Statement: The general secretary distributed the October 2019 Trustees meeting minutes to each trustee.

DECISION: The Trustees approve their October 2019 meeting minutes and request the chairman to sign the official copy of those minutes.

58. Ratification of Decisions Taken on Behalf of the Trustees

Statement: Rotary Foundation Bylaws section 5.10 states that any officer acting on behalf of the Trustees shall report such action to the Trustees at their next scheduled meeting.

DECISION: The Trustees ratify the decisions taken on their behalf by those authorized to do so between 17 September and 4 December 2019, as shown in Appendix A, filed only with the official copy of these minutes.

59. General Secretary's Report

Statement: The general secretary presented an update on the work at the Secretariat since the October 2019 Trustees meeting.

DECISION: The Trustees receive the general secretary's report on the activities at the Secretariat since the October 2019 Trustees meeting.

60. Tentative Future Agenda

Statement: At each meeting, the Trustees review a tentative agenda for their next meeting.

DECISION: The Trustees receive the tentative agenda for their April 2020 meeting.

61. Updates to The Rotary Foundation Code of Policies

Statement: Rotary Foundation Code of Policies section 22.010.7. requests the general secretary, at the conclusion of each Trustees meeting, to review the decisions taken by the Trustees at that meeting and prepare a report listing the decisions that might be added to The Rotary Foundation Code of Policies. The general secretary also recommends amendments to the Code to reflect current practices, language, or other factors.

DECISION: The Trustees amend the Rotary Foundation Code of Policies as shown in Appendix B, filed only with the official copy of these minutes.

62. Trustee Adviser from Japan

Statement: Chair-elect Ravindran requested the Trustees consider appointing an adviser from Japan to attend all meetings of the Trustees in 2020–21 as there will be no Trustee representation from that country in 2020–21.

DECISION: The Trustees authorize Chair-elect Ravindran to appoint an adviser from Japan to attend the 2020–21 Trustees meetings and request the general secretary to include US\$18,000 in the 2020–21 budget to cover the travel and other costs for this adviser to attend these meetings.

63. Timing of Committee Meetings

Statement: To allow for full participation in Board, Trustees, and committee meetings, the Trustees, in decision 22, October 2019, agreed to review the issue of scheduling Foundation committee meetings that have RI director members or liaisons at the same time as Board meetings.

DECISION: The Trustees agree that Foundation committees with director members or liaisons should not be scheduled to meet at the same time as RI Board meetings.

64. Approval of 2020–21 Committee Structure and Appointments

Statement: Rotary Foundation Bylaws section 6.1 provides for the Trustees to establish committees and prescribe the duties and authority of such committees. The Trustees shall determine the number of members on a committee and the terms of membership. Bylaws section 6.2 states that the Trustee chairman shall appoint the members of the committees. Rotary Foundation Code of Policies section 23.010.1. provides that such appointments are reviewed and approved by the full Board of Trustees at their January meeting. Chair-elect Ravindran presented his recommended committee appointments for the Trustees' consideration.

DECISION: The Trustees

1. approve Chair-elect Ravindran's proposed 2020–21 committee structure and his recommendations for the 2020–21 Foundation committees and joint com-

mittees with the RI Board as shown in Appendix C, filed only with the official copy of these minutes, and request the chair-elect to invite the listed persons to serve in their respective roles;

2. offer their congratulations, encouragement, and support to all of the committee members for the next Rotary year.

65. Foundation Role Descriptions

Statement: In decision 22, October 2019, the Trustees agreed to consider amendments to the Rotary Foundation Code of Policies regarding various Foundation role descriptions to align with recent amendments the Board had made to RI role descriptions. The general secretary requested the Trustees consider minor amendments to the role descriptions of the District Rotary Foundation Committee Chair, the regional Rotary Foundation coordinator, and the endowment/major gift adviser.

DECISION: The Trustees amend the Rotary Foundation Code of Policies to eliminate unnecessary sections and streamline language related to various Foundation role descriptions, as shown in Appendix D.

66. Environmental Issues

Statement: The Trustees amended point 1 of their decision 42, October 2019, to clarify that they intend to add environmental issues as either a new area of focus or as part of an existing area of focus.

DECISION: The Trustees amend their decision 42, October 2019, as follows:

42. Environmental Issues

DECISION: The Trustees

1. agree to ~~consider adding~~ *Environmental Issues* as a new Area of Focus or ~~adding~~ *Environmental Issues* to an existing Area of Focus;

67. Official Participants at the International Assembly

Statement: In decision 22, October 2019, the Trustees, at the Board's request, asked their Executive Committee to review the Foundation-funded official participants to the International Assembly to ensure that the role of each participant on the list is necessary to the primary purpose of the meeting. The Executive Committee recommended

no changes to the Foundation's official participants list to the International Assembly.

DECISION: The Trustees

1. thank the Board for its request to review the list of official participants to the International Assembly whose expenses are paid by The Rotary Foundation;
2. thank the Executive Committee for reviewing this list and, on the recommendation of the Executive Committee, agree to make no changes at this time.

68. RI-USAID Partnership Update

Statement: The RI-USAID Steering Committee met on 10–11 December 2019 in Evanston, IL, USA, and discussed the production of Rotary's first knowledge management and storytelling platform, focal country activity in Ghana and Uganda, and the addition of co-created proposals by Rotary districts and USAID Missions for a third focal country.

DECISION: The Trustees thank the RI-USAID Steering Committee for its update.

DECISIONS 69–74

International PolioPlus Committee Report

Meeting 15–16 January 2020 in San Diego, CA, USA

69. PolioPlus Partners

Statement: PolioPlus Partners provides grants for direct Rotarian engagement in the polio-endemic and high-risk countries. These grants are primarily used for social mobilization, surveillance, and to encourage Rotarian volunteer efforts on the ground. In decision 71, January 2019, the Trustees extended the PolioPlus Partners program through 2019–20 and allocated up to US\$3 million from the PolioPlus Fund for PolioPlus Partners grants in 2019–20. Noting that polio cases continue to be reported and Rotarian engagement is needed in polio endemic and high risk countries, the International PolioPlus Committee suggested that the Trustees extend funding for PolioPlus Partners grants through 2022.

DECISION: The Trustees

1. agree to extend the PolioPlus Partners program through fiscal year 2022;

2. agree to allocate up to US\$2.5 million from the PolioPlus Fund for PolioPlus Partners grants in fiscal years 2021 and 2022.

70. 2019–20 PolioPlus International Service and PolioPlus Pioneer Awards

Statement: The PolioPlus Pioneer Award honors Rotarians who have provided extraordinary service to The Rotary Foundation and the goal of polio eradication. The International PolioPlus Committee reviewed nominations for the 2019–20 awards and forwarded their recommendations to the Trustees. The committee also recommended that the PolioPlus Pioneer Award be discontinued after 30 June 2020.

DECISION: The Trustees

1. approve the following nine nominations for the International Service Award for a Polio-Free World:

Mohammad Abdul Awwal
Dorothy Bizzine
Sebastiano Cucuzza
Anamaria Gindila
Lee Harman
Urs Herzog
Christopher McLucas
Emmanuel Paquiao
Bernard Riedel

2. approve the following nine nominations for the PolioPlus Pioneer Award:

Sudarshan Agarwal
Jose Ignacio Carreno Ayala
Jeffrey Coup
John Germ
Alberto Maia E Costa
Abdul Rohaila
Kenneth Noble
Herminio Villano
Joe Whittemore

3. agree to sunset the PolioPlus Pioneer award, effective 30 June 2020.

Note: Trustee Germ abstained from voting on this item.

71. PolioPlus Grants: Combined World Health Organization African Region (WHO/AFRO) and UNICEF Grant Request

Statement: The World Health Organization African regional office (WHO/AFRO) and UNICEF requested grants for social mobilization support in Mali and Nigeria; operational support in Angola, Ethiopia, Mali, and South Sudan; surveillance support for Angola and the African region; technical assistance in Angola; and for oral polio vaccine support in Mali.

DECISION: The Trustees

1. approve a PolioPlus grant in the amount of US\$2,961,768 to UNICEF for social mobilization support for the following countries:

- Mali: \$131,618
- Nigeria: \$2,830,150

this grant to be released in accordance with Trustee policies;

2. approve a PolioPlus grant in the amount of \$4,114,861 to WHO for operational support for the following countries:

- Angola: \$495,592
- Ethiopia: \$1,050,740
- Mali: \$877,400
- South Sudan: \$1,691,129

this grant to be released in accordance with Trustee policies;

3. approve a PolioPlus grant in the amount of \$8,383,546 to WHO for surveillance support for the following:

- African Region: \$7,964,438
- Angola: \$419,108

this grant to be released in accordance with Trustee policies;

4. approve a PolioPlus grant in the amount of \$2,295,300 to WHO for technical assistance in Angola, this grant to be released in accordance with Trustee policies;

5. approve a PolioPlus grant in the amount of \$1,321,448 to UNICEF for Oral Polio Vaccine support in Mali, this grant to be released in accordance with Trustee policies;

6. authorize the general secretary to execute agreement letters with WHO and UNICEF to convey the funds on a scheduled basis. WHO and UNICEF will apply the funds to appropriate projects under such controls and conditions as the agreement specifies;

7. note that these are one-year grants that must be fully expended by 31 March 2021, or one year after the transfer of funds to the grantee, whichever comes later;

8. note that these grants, if fully expended, will increase total PolioPlus grants as follows:

- African Region from:
\$217,011,493 to \$224,975,931
- Angola from:
\$11,382,974 to \$14,592,974
- Ethiopia from:
\$24,283,969 to \$25,334,709
- Mali from:
\$7,534,164 to \$9,864,630
- Nigeria from:
\$273,540,039 to \$276,370,189
- South Sudan from:
\$49,069,760 to \$50,760,889

72. PolioPlus Grants: Combined World Health Organization Eastern Mediterranean Region (WHO/EMRO) and UNICEF Grant Request

Statement: The World Health Organization Eastern Mediterranean regional office (WHO/EMRO) and UNICEF requested grants for technical assistance in Afghanistan and Pakistan; social mobilization support in Pakistan and Somalia; operational support in Pakistan, Somalia, Afghanistan, and Syria; surveillance support in the Eastern Mediterranean region; oral polio vaccine support in Somalia; and reallocating funding for social mobilization support in Afghanistan.

DECISION: The Trustees

1. approve a PolioPlus grant in the amount of US\$1,846,457 to UNICEF for technical assistance in the following countries:

- Afghanistan: \$901,916
- Pakistan: \$944,541

this grant to be released in accordance with Trustee policies;

2. approve a PolioPlus grant in the amount of \$4,866,661 to UNICEF for social mobilization support in the following countries:

- Pakistan: \$3,765,938
- Somalia: \$1,100,723

this grant to be released in accordance with Trustee policies;

3. approve a PolioPlus grant in the amount of \$6,579,848 to UNICEF for operational support in the following countries:

- Pakistan: \$6,000,000
- Somalia: \$ 579,848

this grant to be released in accordance with Trustee policies;

4. approve a PolioPlus grant in the amount of \$3,340,964 to WHO for operational support in the following countries:

- Afghanistan: \$2,000,000
- Syria: \$1,340,964

this grant to be released in accordance with Trustee policies;

5. approve a PolioPlus grant in the amount of \$2,753,110 to WHO for surveillance support in the Eastern Mediterranean Region, this grant to be released in accordance with Trustee policies;

6. approve a PolioPlus grant in the amount of \$922,183 to UNICEF for Polio Oral Vaccine support in Somalia, this grant to be released in accordance with Trustee policies;

7. agree to reallocate \$1,493,413 from unspent funds from previous grant to UNICEF for social mobilization support in Afghanistan, this grant to be released in accordance with the Trustee policies;

8. authorize the general secretary to execute agreement letters with WHO and UNICEF to convey the funds

on a scheduled basis. WHO and UNICEF will apply the funds to appropriate projects under such controls and conditions as the agreement specifies;

9. note that these are one-year grants that must be fully expended by 31 March 2021, or one year after the transfer of funds to the grantee, whichever comes later;

10. note that these grants, if fully expended, will increase total PolioPlus grants as follows:

- Eastern Mediterranean Region from: \$63,966,525 to \$66,719,635;
- Afghanistan from: \$180,903,553 to \$183,805,469;
- Pakistan from: \$235,664,494 to \$246,374,973;
- Somalia from: \$52,743,938 to \$55,346,692;
- Syria from: \$2,441,136 to \$3,782,100.

73. PolioPlus Grants: Combined World Health Organization Western Pacific Region (WHO/WPRO) and UNICEF Grant Request

Statement: The World Health Organization Western Pacific regional office (WHO/WPRO) and UNICEF requested grants for operational support in Papua New Guinea and the Philippines.

DECISION: The Trustees

1. approve a PolioPlus grant in the amount of US\$4,700,574 to WHO for operational support in the following countries:

- Papua New Guinea: \$2,025,212
- Philippines: \$2,675,362

this grant to be released in accordance with Trustee policies;

2. authorize the general secretary to execute agreement letters with WHO to convey the funds on a scheduled basis. WHO and UNICEF will apply the funds to appropriate projects under such controls and conditions as the agreement specifies;

3. note that these are one-year grants that must be fully expended by 31 March 2021, or one year after the

transfer of funds to the grantee, whichever comes later;

4. note that these grants, if fully expended, will increase total PolioPlus grants as follows:
 - Papua New Guinea from:
\$322,001 to \$2,347,213;
 - Philippines from:
\$6,729,484 to \$9,404,846.

74. PolioPlus Grants: Research Grants

Statement: The World Health Organization Polio Research Committee requested a grant and a reallocation of funds for polio eradication research activities in Ecuador, Australia, London, and Pakistan, and a grant to support the position of a research statistician.

DECISION: The Trustees

1. approve a PolioPlus grant in the amount of US\$582,638 and agree to reallocate \$25,513 from unspent funds from previous research grants to WHO for the implementation of polio eradication research activities in Ecuador, Australia, London, and Pakistan as proposed by the Polio Research Committee;
2. approve a PolioPlus grant in the amount of \$436,560 to support the position of a research statistician for the period of 2020–22;
3. authorize the general secretary to execute an agreement letter with WHO to convey the funds on a scheduled basis. WHO will apply the funds to appropriate projects under such controls and conditions as the agreement specifies;
4. note that this is a two-year grant that must be fully expended by 31 March 2022;
5. note that this grant, if fully expended, will bring the total PolioPlus grants to WHO/Research to \$34,825,662.

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75. 2019–20 Alumni Awards Recipients

Statement: The Trustees annually recognize one outstanding Rotary Foundation alumnus with the Rotary

Alumni Global Service Award and one exemplary alumni association with the Rotary Alumni Association of the Year Award. The Trustees reviewed the recommended recipients of these awards submitted by the Board's Service Awards Committee.

DECISION: The Trustees

1. select the individuals as shown in Appendix E (filed only with the official copy of these minutes) as the 2019–20 primary recipient and alternate recipient of the Rotary Alumni Global Service Award;
2. request the general secretary to notify the award recipient and confirm the recipient's commitment to receive the award;
3. authorize the general secretary, on behalf of the Board, to designate the alternate as the award recipient if the primary award recipient cannot receive the award in person at the 2020 RI (Honolulu) Convention;
4. select the alumni association as shown in Appendix F (filed only with the official copy of these minutes) as the 2019–20 recipient of Rotary Alumni Association of the Year Award;
5. request the general secretary to notify the association and confirm its commitment to receive the award at the 2020 RI (Honolulu) Convention.

76. Disaster Relief Funding Rules

Statement: In decision 82, January 2019, the Trustees agreed to establish a "Rotary Disaster Response Fund" as the single support fund to receive contributions through the Foundation's normal contribution mechanisms, including district designated funds (DDF), for worldwide Rotarian response to disasters, and requested the general secretary to evaluate the new disaster response fund and grant and recommend, at its January 2022 meeting, continuation or cancellation of the program based on its effectiveness. The general secretary reported that since the fund and grant model went into effect on 1 April 2019, the Foundation received 28 applications in response to several disasters, including a major hurricane in the Bahamas in August. As a result, the general secretary requested clarification on funding rules.

DECISION: The Trustees

1. agree to continue the Disaster Response Fund and Disaster Response Grants in their current forms;
2. request their Programs Committee to consider possible changes to the existing program at its February 2020 meeting, for report to the April 2020 Trustees meeting;
3. agree that the US\$169,640 received for the August 2019 Hurricane Dorian disaster shall continue to be held for that disaster.

77. Report on Allegations

Statement: To facilitate the work of the Stewardship Committee, and in accordance with the Rotary Foundation Code of Policies, the Trustees receive semiannual updates on stewardship allegations, investigations, and litigation.

DECISION: The Trustees

1. receive the update on the audits of Global Grant 1755525 and Global Grant 1758331, note that all audit requirements, including requested return of funds, must be completed by 15 March 2020, and request an update at their April 2020 meeting;
2. receive the report on the audit follow-up in District 4060 (Dominican Republic).

78. Rotary Foundation Financial Review

Statement: To ensure that the Trustees are fully aware of the status of Foundation finances before they consider proposed decisions with potential financial impact, the Rotary Foundation Finance Committee chair reviews the current status of Foundation finances with the Trustees at the outset of each meeting.

DECISION: The Trustees thank Trustee Webb for his review of Rotary Foundation finances.

79. Finance Committee Report

Statement: The Finance Committee met jointly with the RI Finance Committee on 8 January 2020 via webinar, and on 18 January 2020 in San Diego, CA, USA. The committee discussed fiscal year 2021 budget assumptions, an update on potential funding options, an update on the new financial management system, a report from

the Sunsetting Work Group, and an update on the status of the RI 501(c)(3) application, the audit by the IRS, and amendments to the financial services agreement between Rotary International and The Rotary Foundation, among other topics. The committee also discussed the effective date of its decision 52, October 2019, regarding changes to the Foundation's funding model.

DECISION: The Trustees

1. thank the Finance Committee for its report and update on its goals;
2. rescind their decision 52, October 2019, regarding the TRF funding model;
3. approve the renewal of the Administrative Services Agreement from 1 July 2020 through 1 July 2023 as shown in Appendix G, filed only with the official copy of these minutes.

80. Audit Committee Report

Statement: The Audit Committee met via webinar on 8 January 2020 and discussed recently completed audits, a summary of management action plans, trustee expense reporting policy, and the annual evaluation of the external auditor, among other topics.

DECISION: The Trustees

1. thank the Audit Committee for its report;
2. amend the Rotary Foundation Code of Policies regarding the approval of expense reimbursements as follows:

55.030. Substantiation of Reimbursement of Reimbursable Expenses

All requests for reimbursement of business expenses shall be submitted on a RI expense statement within 60 days of when the expenses were incurred. All business expenses submitted to the Foundation for reimbursement in excess of US\$75 must be substantiated with a receipt. Expense statements received after 60 days will not be reimbursed unless authorized by the general secretary in exceptional cases where circumstances warrant such action. All requests from the Trustees for reimbursement of expenses, or payment of Rotary corporate credit card bills as applicable, must be approved by the chief financial officer, subject to review by the TRF Chair, or designee.

81. Possible Associate Foundation in Korea

Statement: In February 2019, the Korean government made several changes to its tax laws to take effect on 1 January 2021. Rotary will no longer be able to provide the same tax benefits for contributions under the new legal structure. In consultation with local Korean legal counsel, the general secretary determined that by creating a local associate foundation, Rotary can continue to provide the same tax benefits to Koreans and corporations who contribute to The Rotary Foundation. The general secretary requested that the Trustees consider establishing an associate foundation in Korea to continue to provide a tax benefit for contributions made within the country.

DECISION: The Trustees

1. request the general secretary to explore the establishment of an associate foundation in Korea for report to the Trustees at their April 2020 meeting and approve a 2019–20 budget variance of US\$50,000 for this purpose;
2. authorize their Executive Committee to act on their behalf to approve the establishment of an associate foundation in Korea before the April 2020 Trustees meeting.

82. Associate Foundation Appointments

Statement: The associate foundations have differing requirements for their trustee appointments. The Trustees considered appointments in accordance with each associate foundation's governing documents.

DECISION: The Trustees

1. appoint Past RI Director Peter Iblher to serve as a member of the Advisory Council of Rotary Deutschland Gemeindienst for the term ending 30 June 2021;
2. appoint Past District Governor Friedel H. Eggelmeyer to serve as a member of the Advisory Council of Deutsche Rotarische Stiftung for the term ending 31 December 2021.

83. Investment Committee Report

Statement: The Investment Committee met on 31 October 2019 in Evanston, IL, USA, to discuss investment strategy performance and met via webinar on 20 November 2019 to discuss possible amendments to the Statement of Investment Policy and Guidelines.

DECISION: The Trustees

1. thank the Investment Committee for its report;
2. approve the following strategic asset class/strategy allocations and ranges for the Annual Fund:

Asset Class/Strategy	Annual Fund		
	Min	Target	Max
Fixed Income	70%	75%	80%
Real Estate	10%	15%	20%
Independent Return	0%	10%	15%
Cash	0%	0%	5%
Total	100%		

3. approve the consolidation of the Operating Reserve to be invested as part of the Annual Fund pursuant to the above allocations;
4. approve the following strategic asset class/strategy allocations and ranges for the Endowment Fund:

Asset Class/Strategy	Endowment Fund		
	Min	Target	Max
Marketable Equity	46%	48%	52%
Independent Return	10%	15%	18%
Fixed Income	13%	15%	18%
Corporate Finance	8%	10%	12%
Real Estate	4%	8%	10%
Natural Resources	2%	3%	4%
Venture Capital	0%	1%	2%
Cash	0%	0%	3%
Total		100%	

5. amend the Statement of Investment Policy and Guidelines, including the allocations reflected above, as shown in Appendix H, filed only with the official copy of these minutes.

2019–20 Budget Review

- The approved 2019–20 Rotary Foundation’s budget.

2019–20 Budget

Revenues:	US\$385,502,000
Program Awards:	304,310,000
Program Operations	21,727,000
Operating Expenses	36,276,000
Total Expenses:	362,313,000

Net total of revenue and
reserve funding over
expenses:

23,189,000

- Decisions taken at this meeting, impacting future years:

	<u>2019–20</u>	<u>2020–21</u>	<u>2021–22</u>
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Program Awards

Dec. 69 PolioPlus Partners:	-	2,500,000	2,500,000
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Total Program Awards:	-	\$2,500,000	\$2,500,000
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Expenses

Dec. 62 Trustee Adviser from Japan:	-	18,000	-
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Dec. 81 Assoc. Found. Korea:	50,000	6,000	6,000
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Total Expenses:	\$50,000	\$24,000	\$6,000
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Net Surplus/ (Deficit):	\$(50,000)	\$(2,524,000)	\$(2,506,000)
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Adjournment

The foregoing is a true record of the proceedings at the 18–19 January 2020, Trustee meeting.

Gary C. K. Huang, Chair
The Rotary Foundation

Andrew McDonald
Secretary of the Meeting

ATTEST:

John Hewko
General Secretary, RI

APPENDIX A

SUMMARY OF DECISIONS TAKEN ON BEHALF OF THE TRUSTEES (Decision 58)

TRF Cadre of Technical Advisers

- The cadre reviewed 69 grants, including 53 interim monitoring visits, two operational audits, two post-project monitors, five random audits, two routine audits, two targeted audits, and three technical reviews.

PolioPlus Partner Grants

- US\$304,434 in PolioPlus Partners funding for social mobilization activities in Democratic Republic Congo.

Foundation Programs

- 229 global grants awarded for a total of \$7,015,273 in World Fund;
- 115 district grants awarded for a total of \$7,971,777 in DDF.

Waivers

- **Global Grant 1870780:** Infrastructure facilities at Saraswathy Vilasam Lower Primary
- **Districts/Clubs:** Rotary Clubs of Cochin Midtown, India (District 3201) and District 9980 (New Zealand)
- **Project Description:** To provide a four classroom simple school to underprivileged preschoolers in Kerala, India. Waiver to permit more than three classrooms in a simple school project.

APPENDIX D

AMENDMENTS TO THE ROTARY FOUNDATION CODE OF POLICIES REGARDING FOUNDATION ROLE DESCRIPTIONS

(Decision 65)

24.010. Regional Leaders

Regional leaders is the term used for the team of Regional Rotary Foundation Coordinator (RRFC), Rotary Coordinator (RC), Rotary Public Image Coordinator (RPIC), and the Endowment/Major Gifts Adviser (E/MGA) that works together in the districts they serve to improve membership, giving fund development and major gifts, program/project participation, and public image.

24.010.1. Teamwork

The regional leader team supports the interrelated priorities of Rotary's strategic plan and goals working under the direction of the RI Director with guidance and support from either a Trustee that resides in the zones of that Director or from a Trustee within the greater geographical region.

All regional leaders and their assistants ~~need to~~ work together as a team to successfully serve their districts.

*

24.010.2. Training

Coordinator Training

Newly-appointed coordinators attend a joint-training institute named "Regional Leaders Training Institute," (RLTI). RLTI is planned by a Moderator and two lead facilitators in consultation with the general secretary. The RLTI Moderator is selected jointly by the ~~incoming Trustee Chair-elect~~ chair-elect of the Trustees for the year of the E/MGTI and the President-nominee. The incoming Trustee Chair-elect and the President-nominee each select one of the two RLTI lead facilitators. Candidate selections for the RLTI Moderator and lead facilitator names are shared with the RI Board and TRF Trustees for review before appointments are finalized and announced.

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24.010.3. Regional/District Trainings

Regional leaders organize, lead, and/or participate in trainings throughout the year. Training events should be relevant and targeted for governors and other district leaders to use again at the club level. Training events include:

- President-elect Training Seminar and/or other District Seminars –Regional leaders and their teams should ~~work~~ collaborate with their governors and governors-elect to support the training of presidents-elect and other district leaders, including providing assistance to training program and curriculum development, and, if invited, providing training or participating in district training events.

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24.020.1 Purpose

The purpose of RRFCs is to proactively promote The Rotary Foundation's goals and Rotary's strategic plan by facilitating increased giving to and participation in The Rotary Foundation's Annual Fund and PolioPlus efforts as well as greater participation in The Rotary Foundation's district and global grants, and programs. RRFCs work in collaboration with the district structure to support and encourage Rotarians and clubs to fully participate in The Rotary Foundation's fund-raising, programs and grant opportunities.

*

24.020.2. Qualifications

RRFCs must have the following experience before appointment:

- service as a past district governor
- expertise in Rotary Foundation grants and fund-raising

Preference for RRFC candidates will be given to candidates with demonstrated

- collaborative leadership styles
- training experience
- service as an Assistant RRFC and/or as a DRFC, Grants Chair, or Fund Development Chair

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24.020.4. Role Responsibilities

~~RRFCs are also responsible for annually receiving nominations for the Rotary Alumni Global Service Award and the Alumni Association of the Year Award from Rotarians in their region. In consultation with both the Rotary Public Image Coordinator and the Rotary~~

~~Coordinator, the RRFC also selects regional winners for these awards and submits their finalist names to RI by mid-October so these regional winners may become eligible for selection at the global award level.~~

*

24.030.4. Role Responsibilities

[text omitted]

E/MGAs are responsible for:

- Developing personalized cultivation, ~~and~~ solicitation, and stewardship plans for prospective major gift donors annually, in consultation with fund development staff partners and other district leaders as appropriate
- Participating in or being productively involved with the solicitation of a minimum of three major gifts annually, with an emphasis on gifts of US\$25,000 or more
- Planning cultivation and recognition activities for Foundation Supporters and prospective major donors, in consultation with their Director and/or Trustee, RRFCs, Area of Focus MGI Committees, and other district leaders as appropriate
- Planning or helping to support the organizing of cultivation and stewardship activities for Foundation supporters at the Rotary Institute or other Rotary events
- Supporting the efforts of Area of Focus MGI Committees working on cultivation and stewardship activities
- Helping to identify potential donors using all resources available to them.

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25.010. District Rotary Foundation Committee

The district Rotary Foundation committee (DRFC) assists in educating, motivating, and inspiring Rotarians to participate in Foundation grant and fundraising activities in the district. ~~The committee serves as the liaison between The Rotary Foundation and Rotarians.~~ The subcommittee chairs are members of this committee.

*

25.010.2. Committee Qualifications

In addition to the minimum recommended qualifications established in the District Leadership Plan, it is recommended that Rotary Foundation committee

members be past district governors, past assistant governors, effective past district subcommittee members, or experienced Rotarians. Committee members should be appointed based on their ~~commitment to The Rotary Foundation as demonstrated through~~ program participation, Foundation expertise and giving, ~~and financial contributions.~~

*

25.010.3. Training Requirements

All members of the district Rotary Foundation committee are expected to attend a regional Rotary Foundation seminar conducted by a regional Rotary Foundation coordinator (RRFC). In addition, all committee members are expected to attend and participate in the district team training seminar and other district training meetings. ~~Committee members should participate in district training meetings~~ as outlined in Article ~~23~~ 20 of the *Rotary Code of Policies*.

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25.010.4. Appointment and Term of District Rotary Foundation Committee Chair (DRFC chair)

To be effective, the district Rotary Foundation committee must have continuity of leadership; therefore, the district Rotary Foundation committee chair shall be appointed for a three-year term, subject to removal for cause.

The district governors (~~if selected~~) scheduled for each of the years of the three-year term for the DRFC chair will jointly select the DRFC chair. If the governor scheduled for the third-year of the DRFC term has not been elected yet, the other two governors may jointly select the DRFC chair and the third-year governor must accept and abide by this decision. This selection should take place and be reported by the district governor-elect no later than 31 December before taking office on 1 July of the following calendar year. However, if neither the second nor the third year governor has been selected, the DRFC selection must wait until either the second or the third year governor can jointly agree with the first-year governor on the DRFC selection and the third governor not yet elected must agree to abide by the selection. The district Rotary Foundation committee chair must have significant knowledge of, commitment to, and experience with Rotary Foundation activities. Districts will not have access to the District Designated Fund until the DRFC chair is appointed.

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25.010.5. Duties and Responsibilities of the District Rotary Foundation Committee Chair

The District Rotary Foundation Committee chair shall:

- a) Report to the district governor on all district Foundation activities monthly, including qualification status of clubs and district.
- ~~b) Oversee and serve as an ex officio member of all subcommittees.~~
- c) Together with the district governor, provide one of the two authorizing signatures for the use of the District Designated Fund to reflect the decisions of the district Rotary Foundation committee.
- d) Confirm that global grant applications are completed and confirm that the sponsor clubs are qualified.
- e) Serve as the primary contact for district grants.
- f) Oversee the district qualification process and compliance with the requirements of qualifying, including ensuring that the annual financial assessment of the financial management plan and its implementation is properly distributed to the clubs in the district.
- ~~g) Work with the governor and other district committee chairs to ensure Rotary Foundation activities are properly included in such committees.~~
- h) Work with the district governor, district trainer, and the district training committee to plan, organize, and promote district seminars, the district Rotary Foundation seminar, the district training assembly, presidents-elect training seminar, and grant management seminars, focusing on agenda and content.
- ~~i) Provide support to club Foundation committees.~~
- j) Assist the governor-elect in obtaining input from Rotarians before establishing district Foundation goals for implementation during his/her term as governor.
- ~~k) Assist the governor in nominating qualified recipients for district Rotary Foundation awards.~~
- l) Ensure Rotary Foundation grant activities are reported on at a district meeting to which all clubs are invited or eligible to attend, as required by the terms and conditions of Foundation grants.

*

61.050.1.3. Nomination of Candidates

1. Any member of the Rotary community, including Rotarians, alumni, and current program participants can nominate a candidate.
2. Nominations must be made on the prescribed form with a clear description of the candidate's achievements that would qualify him/her for this

award; supplementary documentation or material is highly desirable. Nominations must be received at RI World Headquarters by 15 September.

- ~~3. The General Secretary regional Rotary Foundation Coordinator (RRFC) shall be responsible for the nomination process for their zone/region and should notify district governors of the deadline and procedures. The RRFC will be provided with all nominations received from their zone/region by RI following the 15 September deadline.~~
- ~~4. Each RRFC may select one candidate annually from the zone/region for which he or she is responsible for the international competition. All three regional coordinators should be involved in the selection process.~~
- ~~5. RRFCs must submit their selection of zone/regional winner to RI World Headquarters by 15 October for the current year's award.~~

*

61.050.1.4. Selection Process

1. The general secretary will review all nominations to assure candidates meet the eligibility criteria and forward ~~them~~ the top candidates to the Service Awards Committee and The Rotary Foundation Awards Review Committee for consideration.
2. The Service Awards Committee and The Rotary Foundation Awards Review Committee will review ~~all eligible nominations~~ and recommend one winner and one alternate to the Board and Trustees at their meetings in January for selection.
3. The recipient of the award ~~and the nominating RRFC~~ will be notified about winning the award immediately after the January meetings of directors and trustees. Upon written acceptance of the award (including a commitment to receive the award in person at the Rotary International Convention), the winner will be announced to the other nominators and regional coordinators, and to the general public, as appropriate.

*

61.050.2.2. Nomination Procedure

1. Any member of the Rotary community, including Rotarians, alumni, and current program participants can nominate a candidate.
2. Nominations must be made on the prescribed form with a clear description of the candidate's achievements that would qualify the alumni association for this award; supplementary documentation or material is highly desirable.

Nominations must be received at RI World Headquarters by 15 September.

3. The General Secretary ~~regional Rotary Foundation coordinator (RRFC)~~ shall be responsible for the nomination process for their zone/region and should notify district governors of the deadline and procedures. ~~The RRFC will be provided with all nominations received from their zone/region by RI following the 15 September deadline.~~
4. ~~Each RRFC may select one candidate annually from the zone/region for which he or she is responsible for the international competition. All three regional coordinators should be involved in the selection process.~~
5. ~~RRFCs must submit their selection of zone/regional winner to RI World Headquarters by 15 October for the current year's award.~~

*

61.050.2.3. Selection Process

1. The general secretary will review all nominations to assure candidates meet the eligibility criteria and forward ~~them~~ the top candidates to the Service Awards Committee and The Rotary Foundation Awards Review Committee for consideration.
2. The Service Awards Committee and The Rotary Foundation Awards Review Committee ~~will review all eligible nominations and~~ recommend one winner and one alternate winner of the Rotary Alumni Association of the Year Award to be presented to the Board and Trustees at their respective meetings in January for selection.
3. The recipient of the award ~~and the nominating RRFC~~ will be notified about winning the award immediately after the January meetings of directors and trustees. Upon written acceptance of the award, the winner will be announced to the other nominators and regional coordinators, and to the general public, as appropriate.

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